



Citation: 2020 BCSECCOM 100

**Amended Order**

**Chun Ying “Jim” Pan**

***Securities Act, RSBC 1996, c. 418 (Act)***

**Background**

- ¶ 1 The Executive Director of the British Columbia Securities Commission has entered into an amended settlement agreement with Chun Ying “Jim” Pan (Pan), a copy of which is attached as Schedule A.

**Order**

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:
1. under section 161(1)(d)(i), Pan resign any position he holds as a director or officer of an issuer or registrant;
  2. Pan is prohibited for the later of five years or until he has fulfilled his undertaking in paragraph 13 of the settlement agreement attached as Schedule A:
    - i. under section 161(1)(b)(ii), from trading in any securities or exchange contracts, except that he may trade securities through a registrant in one RRSP account and one TFSA account held in his own name, if he first provides a copy of the Amended Order to the registrant, and except that as a registered dealing representative of a scholarship plan dealer, he may trade in securities of scholarship plans, educational plans or educational trusts;
    - ii. under section 161(1)(c), from being eligible for any or all of the exemptions set out in the Act, the regulations or a decision;
    - iii. under section 161(1)(d)(ii), from becoming or acting as a director or officer of any issuer or registrant;
    - iv. under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter, except that he may continue to trade as a dealing representative of a scholarship plan dealer;



- v. under section 161(1)(d)(iv), from acting in a management or consultative capacity in connection with activities in the securities market; and
- vi. under section 161(1)(d)(v), from engaging in investor relations activities.

¶ 3 May , 2020  
*Peter J Brady*  
*May 1 2020 12:24PM -07:00*

¶ 4 Peter J. Brady  
Executive Director