



Citation: 2020 BCSECCOM 77

Order

Robert Logan Dunn and Mosaic Holdings Inc.

Securities Act, RSBC 1996, c. 418 (the Act)

Background

- ¶ 1 The Executive Director of the British Columbia Securities Commission (the Executive Director) has entered into a settlement agreement with Robert Logan Dunn (Dunn) and Mosaic Holdings Inc. (Mosaic), a copy of which is attached as Schedule A.

Order

- ¶ 2 The Executive Director, considering it to be in the public interest to do so, orders (the Order) that:

Dunn

1. Dunn is prohibited for 5 years:
 - (a) under section 161(1)(b)(ii), from trading in or purchasing any securities or exchange contracts, except that he may trade and purchase securities or exchange contracts for his own account through a registered dealer, if he gives the registered dealer a copy of the Order;
 - (b) under section 161(1)(d)(ii), from becoming or acting as a director or officer of any reporting issuer or registrant;
 - (c) under section 161(1)(d)(iii), from becoming or acting as a registrant or promoter;
 - (d) under section 161(1)(d)(iv), from acting in a management or consultative capacity in connection with activities in the securities markets; and
 - (e) under section 161(1)(d)(v), from engaging in investor relations activities.

Mosaic

2. Mosaic is prohibited for 5 years:
 - (a) under section 161(1)(b)(ii), from trading in or purchasing any securities or exchange contracts;
 - (b) under section 161(1)(d)(iv), from acting in a management or consultative capacity in connection with activities in the securities markets; and



(c) under section 161(1)(d)(v), from engaging in investor relations activities.

¶ 3 March 11, 2020

Peter Brady

¶ 4 Peter J. Brady
Executive Director