



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

CORPORATE RESOLUTION

PROPOSED AMENDMENTS TO MFDA RULE 5.3 (CLIENT REPORTING)

AND

PROPOSED AMENDMENTS TO MFDA POLICY NO. 7 *PERFORMANCE REPORTING*

The undersigned, Assistant Corporate Secretary of the Mutual Fund Dealers Association of Canada (the “Corporation”) hereby certifies that attached is a true and correct copy of a resolution adopted by the MFDA Board of Directors of the Corporation in accordance with the By-laws of the Corporation at a meeting held on November 29, 2017 which is in full force and effect on the date hereof.

“Bernadette Devine” _____

Bernadette Devine
Assistant Corporate Secretary
November 30, 2017

RESOLUTION ADOPTED BY THE BOARD OF DIRECTORS OF

THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA

AT A MEETING HELD ON NOVEMBER 29, 2017

RESOLVED that the proposed housekeeping amendments to MFDA Rule 5.3 (Client Reporting) and MFDA Policy No. 7 (Performance Reporting) in the form tabled at Schedule “A” to “Exhibit “L” of the Agenda are hereby approved subject to any non-material changes that may be required or desirable by the Recognizing Regulators.