



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

## **CORPORATE RESOLUTION**

### **PROPOSED AMENDMENTS TO MFDA RULE 1.1.2 (COMPLIANCE BY APPROVED PERSONS)**

The undersigned, Assistant Corporate Secretary of the Mutual Fund Dealers Association of Canada (the “Corporation”) hereby certifies that attached is a true and correct copy of a resolution adopted by the MFDA Board of Directors of the Corporation in accordance with the By-laws of the Corporation at a meeting held on June 6, 2019 which is in full force and effect on the date hereof.

“Bernadette Devine”

Bernadette Devine  
Assistant Corporate Secretary  
June 6, 2019

### **RESOLUTION ADOPTED BY THE BOARD OF DIRECTORS OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA AT A MEETING HELD ON JUNE 6, 2019**

**RESOLVED** that the proposed amendments to MFDA Rule 1.1.2 (Compliance by Approved Persons) in the form tabled at “Exhibit “S” of the Agenda are hereby approved subject to any non-material changes that may be required or desirable by the Recognizing Regulators.