



**Notice of BCSC non-objection to proposed new
MFDA Rule 1.2 (Definitions) and 1.2.6 (Continuing Education) and
Policy No. 9 (Continuing Education (“CE”) Requirements)**

As of August 28, 2019, the BCSC and the MFDA’s other recognizing regulators¹ did not object to or approved² the MFDA’s proposed new Rule 1.2 (Definitions) and 1.2.6 (Continuing Education) and Policy No. 9 (Continuing Education (“CE”) Requirements).

The rules and policy establish continuing education requirements for approved persons and minimum standards for complying with these requirements to assist approved persons with:

- maintaining high standards of professionalism, and
- keeping their industry knowledge current.

The BCSC published the proposed policy for comment on its website for a 90-day period ending June 20, 2018. There were 15 comment letters. We attach the MFDA’s summary of public comments received and responses and a blacklined copy of the proposed rules and policy showing changes made to the version published for comment.

September 26, 2019

Ref: Request for Comment: Proposed MFDA Rules 1.2 (Definitions), 1.2.6 (Continuing Education), and Proposed MFDA Policy No. 9 - Continuing Education (CE) Requirements

¹ Alberta Securities Commission, Financial and Consumer Affairs Authority of Saskatchewan, Manitoba Securities Commission, Ontario Securities Commission, Financial and Consumer Services Commission of New Brunswick, Nova Scotia Securities Commission and Prince Edward Island Office of Superintendent of Securities.

² Non-objection and approval are the different ways in which the recognizing regulators express their decisions after reviewing proposed MFDA rules.