## 2.4.3 Operating ChargesService Fees or Charges.

- (a) No Member shall impose on any client or deduct from the account of any client any operating charge, as defined under Rule 5.3(1), service fee or service charge relating to services provided by the Member in connection with the client's account unless written notice shall have been given to the client:
  - <u>i.</u> <u>written notice shall have been given to the client</u> on the opening of the account; <u>and</u>
  - <u>ii.</u> or not less than 60 days prior to the imposition or revision of the fee or charge. For the purposes of this Rule, service fees or charges shall not include any commissions charged for executing trades.

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