

## **31-101 National Registration System [NI Amendment - Lapsed]**

Published May 12, 2006

### **PART 1 AMENDMENTS TO NATIONAL INSTRUMENT 31-101**

1.1 National Instrument 31-101 National Registration System is amended by this Instrument.

1.2 Paragraph (a) of the definition of "principal regulator" is repealed and the following is substituted:

"for a firm filer, the securities regulatory authority or regulator of the jurisdiction in which the firm filer's head office is located;"

1.3 Section 2.3 is repealed and the following is substituted: "If a firm filer changes its head office to another jurisdiction, the firm filer must immediately notify its principal regulator of such change by submitting a completed Form 31-101F2."

1.4 Item 3 of Form 31-101F1 is repealed and the following is substituted:

"3. Reasons for Designation of Principal Regulator

State here the location of firm filer's head office."

1.5 Form 31-101F2 is amended:

(a) Item 1 of the General Instructions is repealed and replaced by the following:

1. The Form must be submitted by a firm filer to notify its principal regulator if a firm filer changes its head office to another jurisdiction."

(b) Item 2 is amended by striking out "the factors considered by the firm filer to determine the jurisdiction with which the firm filer has the most significant connection" and substituting "the head office".

### **PART 2 EFFECTIVE DATE**

2.1 This Instrument is effective August 1, 2006.