

FORM 31-102F2

ENROLMENT OF CHIEF AUTHORIZED FIRM REPRESENTATIVE

TO: NRD Administrator
85 Richmond Street West, Toronto, Ontario M5H 2C9

AND TO: Each **Firm Filer** that appoints the undersigned as its Chief AFR using the User ID assigned in respect of this enrolment

STRICTLY CONFIDENTIAL

Please select one box:

- First Enrolment as a chief AFR**
- Have one or more active user IDs with the status of chief AFR and request a new user ID to be assigned in respect of this enrolment as a chief AFR.**
Do not provide any of your user IDs to the firm filer enrolling you.
Note: If this form was already completed in respect of a previous enrolment by you as a chief AFR and you wish to use the user ID assigned in respect of that enrolment, do not complete this form again and provide that user ID to the firm filer enrolling you to include in section 2 of Form 31-102F1.

General Instructions:

- A. This form is available online for downloading at the NRD web site at www.nrd.ca.
- B. Complete the information requested in this form in type or legible print. All sections must be completed. A manual signature is required by the chief AFR.
- C. This form **must** be accompanied by Form 31-102F1 of the firm filer when returned to the NRD administrator. The names completed in section 1 of this form must match the names completed in section 2 of Form 31-102F1.
- D. The Personal Identification Number in section 3 of this form is to be kept strictly confidential. For security reasons, the chief AFR may chose to return this completed form, together with Form 31-102F1, to the NRD administrator on behalf of the firm filer.
- E. Return this form by prepaid mail or personal delivery to the NRD administrator at the address above or, if this form is accompanied by a Change to Previous Filing on Form 31-102F1, it may be returned to the NRD administrator by fax to 1-800-_____, or, in both cases, to such other address or fax number as may be provided on the NRD web site.

Section 1 Chief AFR Information

Last name:		
First name:	Second name (if applicable):	Third name (if applicable):
Direct phone number: () Extension if applicable:	Fax number: ()	E-mail address:

The chief AFR requests that the NRD administrator deliver to the chief AFR a confirmation of the set up of the firm filer below and/or a confirmation of the appointment of the chief AFR, and confirmations for any other firm filers that appoint the chief AFR as their chief AFR using the same user ID in respect of this enrolment. The delivery of the confirmation to the chief AFR shall be by fax or e-mail, as selected below, using the fax number or e-mail address provided by the chief AFR in section 1, as may be amended in NRD.

Select **one** only: " fax " e-mail

If no selection is made or if both selections are made, delivery of the confirmation to the chief AFR shall be by fax.

Section 2 Firm Filer Information

Legal name of the firm filer that has appointed the chief AFR:	Firm NRD number (if available):
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Section 3 Personal Identification Number

Select four to six numbers as the unique confidential personal identification number (“PIN”) of the chief AFR. The PIN will be required in order to access the NRD web site for the first time following enrolment and to reset the chief AFR’s password. It is the sole responsibility of the chief AFR to implement appropriate security precautions to ensure that the PIN selected below is kept strictly confidential and not shared with any other person.

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Section 4 Acknowledgement and Certification of Chief AFR

The undersigned chief AFR hereby confirms that the undersigned has accepted the appointment by the firm filer stated in section 2 as the firm filer’s chief AFR and the appointment by any other firm filer(s) as such other firm filer(s) chief AFR if the undersigned has elected to use the same user ID assigned in respect of this enrolment for such other firm filer(s). The undersigned acknowledges that the electronic payment of fees on behalf of the firm filer(s) that has appointed the chief AFR using the user ID assigned in respect of this enrolment, from the account that such firm filer has established for its use in the National Registration Database, may be authorized by the undersigned as chief AFR, or by any other AFRs appointed for such firm filer.

Notice – Collection and Use of Personal Information

The personal information that you provide on this form or otherwise through NRD is used to facilitate your access to and use of NRD and is not used for any other purpose. The signed copy of the completed form that you deliver to the NRD administrator is retained by the NRD administrator as evidence of your enrolment as a chief AFR. The information you provide on this form or otherwise through NRD will not be disclosed to any third party except any of the Canadian securities regulatory authorities or their authorized representatives for purposes of the administration or enforcement of securities legislation in the applicable jurisdictions. For information about the use of the information collected on this form or if you would like to obtain access to the information you have submitted, contact the NRD administrator at the address or number provided above.

The undersigned hereby certifies that the foregoing information is true in all material respects and acknowledges that all access to and use of NRD shall be subject to the foregoing. The undersigned agrees to update the information submitted in section 1 of this form in NRD format within 5 business days of the change. The undersigned agrees that an executed copy of this form, if delivered to the NRD administrator by fax, shall have the same effect as an originally executed copy delivered to the NRD administrator.

Print name of chief AFR: Last name: First name: Second name: Third name:	
Signature of chief AFR: _____	Date (dd/mm/yyyy)