

31-102 National Registration Database [MI Amendment Proposed - Lapsed]

Published May 12, 2006

Concurrently Published

- [BCN 2006/08 Request for Comment on Proposed Amendments to Multilateral Instrument 33-109 Registration Information, Companion Policy 33-109CP, Multilateral Instrument 31-102 National Registration Database, and Companion Policy 31-102CP \[BCN\]](#)
- [31-102CP National Registration Database \[CP Proposed Amendment\]](#)
- [33-109 Registration Information \[NI Consolidation Proposed Amendment\]](#)
- [33-109 Registration Information \[NI Consolidation Proposed Amendment\]](#)



1. The title of Multilateral Instrument 31-102 National Registration Database is amended by striking out " Multilateral " and substituting " National ".

2. The table of contents of the Instrument is amended by

(a) striking out the following:

PART 7 TRANSITION

Definitions

- | | |
|-----|--|
| 7.2 | NRD Enrolment for Transition Firms |
| 7.3 | NRD Submissions before NRD Access Date |
| 7.4 | Accuracy of Business Location Information |
| 7.5 | Individuals Included in the Data Transfer |
| 7.6 | Individuals not Included in the Data Transfer |
| 7.7 | Changes to Form 4 Information - Registered Individuals |
| 7.8 | Changes to Form 4 Information - Non-registered |

Individuals

- | | |
|-----|---|
| 7.9 | Pending Application to Change Individual's Registration |
|-----|---|

Category

- | | |
|------|--|
| 7.10 | Currency of Form 33-109F4 |
| 7.11 | Termination or Cessation of Relationship |

PART 8 EFFECTIVE DATE

- | | |
|-----|-----------------------------|
| 8.1 | Effective Date , <i>and</i> |
|-----|-----------------------------|

(b) adding the following after " 6.1 Exemption ":

PART 7 INCONSISTENT PROVISIONS

- | | |
|-----|-------------------------|
| 7.1 | Inconsistent Provisions |
|-----|-------------------------|

3. Section 1.1 of the Instrument is amended by striking out the definition of " MI 33-109 " and substituting the following:

"NI 33-109" means National Instrument 33-109 *Registration Information*,

4. The definition of "NRD number" in section 1.1 of the Instrument is amended by striking out " non-registered individual " and substituting " permitted individual ".

5. Paragraph 3.1(1)(b) of the Instrument is amended by striking out " non-registered individual " and substituting " permitted individual".

6. Subsection 5.1(4) of the Instrument is amended by striking out " MULTILATERAL " and substituting " NATIONAL ".

7. Section 6.1 of the Instrument is amended by adding the following subsection after subsection 6.1(2):

(3) In Québec, this exemption is granted pursuant to section 263 of the *Securities Act* (R.S.Q., c. V-1.1).

8. Part 7 of this Instrument is repealed and the following is substituted:

PART 7 INCONSISTENT PROVISIONS

7.1 Inconsistent Provisions - In Québec, the provisions of this Instrument take precedence over any inconsistent provisions of Title V of the Securities Regulation.

9. Part 8 of this Instrument is repealed.

10. This Instrument comes into force on [insert date].