

Amendments to National Instrument 31-102
National Registration Database

1. ***National Instrument 31-102 National Registration Database is amended by this Instrument.***
2. ***Section 1.1 is amended by, in the definition of “NRD administrator”, replacing “CDS INC.” with “the Alberta Securities Commission”.***
3. ***Paragraph 4.5(e) is amended by replacing “pays the following fees by submitting a cheque, payable to CDS INC. in Canadian funds, to the firm’s principal regulator within 14 days of the date the payment is due” with “pays the following fees within 14 days of the date the payment is due by submitting a cheque, payable to the Ontario Securities Commission in Canadian currency, to CSA Service Desk, Attn: NRD Administrator, 12 Millennium Blvd, Suite 210, Moncton, NB E1C 0M3”.***
4. This Instrument comes into force on October 12, 2013.