

Appendix M

British Columbia Securities Commission

BC Instrument 33-513

Exemption from financial statement, capital and bonding requirements for MFDA Members

The British Columbia Securities Commission, considering that to do so would not be prejudicial to the public interest, orders that effective September 28, 2009, BC Instrument 33-513 entitled *Exemption from financial statement, capital and bonding requirements for MFDA Members*, dated November 25, 2003 is revoked and the attached BC Instrument 33-513 *Exemption from capital and bonding requirements for MFDA Members* is made.

, 2009

Brent W. Aitken
Acting Chair

(This part for administrative purposes only and is not part of the Order)

Authority under which Order is made:

Act and sections:- *Securities Act*, section 171

Other (specify):-

BC Instrument 33-513
Exemption from capital and bonding requirements for MFDA members

Order under section 48(1) of the *Securities Act*

Interpretation

1. Terms defined in the *Securities Act*, the *Securities Rules* or National Instrument 14-101 *Definitions* have the same meaning in this Instrument.

Relief from capital, and bonding requirements

2. A registrant that is a member of the Mutual Fund Dealers Association of Canada (MFDA) is exempt from sections 19(3), 19(5) and 21 of the *Securities Rules* if it complies with the bylaws, rules, and other regulatory instruments and policies of the MFDA relating to capital, financial filings, and bonding.