

**Proposed Changes to
National Policy 11-206 *Process for Cease to be a Reporting Issuer Applications***

1. *National Policy 11-206 Process for Cease to be a Reporting Issuer Applications is changed by this Document.*
2. *Part 2 is changed by adding “AND INTERPRETATION” in its title.*
3. *The Policy is changed by adding the following section:*

- 4.1. **Electronic transmission** – National Instrument 13-103 [*System Replacement Rule*] prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the [Renewed System].

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of the [Renewed System], the Appendix of National Instrument 13-103 [*System Replacement Rule*] sets out securities legislation under which documents are excluded from being filed or delivered in the [Renewed System].

Reference to National Instrument 13-103 [*System Replacement Rule*] should be made when providing any document to a securities regulatory authority or regulator under Multilateral Instrument 11-102 *Passport System* and this policy..

4. *Subsection 10(1) is deleted.*
5. *Section 16 is changed by:*
 - (a) *deleting “by letter”, and*
 - (b) *deleting “in the pre-filing”.*
6. *Subsection 17(1) is changed by deleting “in the pre-filing”.*
7. *Subsection 22(1) is changed by replacing “In its application, the” with “The”.*
8. *Section 27 is replaced with the following:*

Filing

27. A filer should send the application materials together with the fees to
- (a) the principal regulator, in the case of a passport application, or
 - (b) the principal regulator and the OSC, in the case of a dual application..
9. *Subsection 30(2) is changed by replacing “mark” with “treat”.*
10. *Subsection 34(1) is changed by replacing “circulate” with “provide”.*
11. *Part 10 is changed:*
- (a) *in its title by deleting “TRANSITION AND”, and*
 - (b) *by deleting section 40.*
12. These changes become effective on ●.