

**Proposed Changes to
National Policy 11-207 *Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions***

1. *National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions is changed by this Document.*
2. *Section 3 is changed by:*
 - (a) *deleting the definition of “SEDAR”, and*
 - (b) *adding the following definition:*

“[Renewed System]” means [full name of new system];.
3. *The Policy is changed by adding the following section:*
 - 5.1. **Electronic Transmission** – National Instrument 13-103 [*System Replacement Rule*] prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the [Renewed System].

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of the [Renewed System], the Appendix of National Instrument 13-103 [*System Replacement Rule*] sets out securities legislation under which documents are excluded from being filed or delivered in the [Renewed System].

Reference to National Instrument 13-103 [*System Replacement Rule*] should be made when providing any document to a securities regulatory authority or regulator under this policy..
4. *Section 13 is changed by replacing “SEDAR” with “[Renewed System]”.*
5. *Subsection 16(1) is changed by replacing “circulate” with “provide”.*
6. *Subsection 19(2) is changed by deleting “a copy of”.*
7. *Section 28 is changed by replacing “to hold an annual meeting requirement” with “to hold an annual meeting”.*

8. *Paragraph 33(1)(e) is changed by replacing “SEDAR” with “[Renewed System]”.*

9. *Section 37 is replaced with the following:*

Filing

37. A filer should send the application materials, including the draft order together with the fees, where applicable, to

- (a) the principal regulator, in the case of a passport application, or
- (b) the principal regulator and the OSC, in the case of a dual application..

10. *Subsection 40(2) is changed by replacing “mark” with “treat”.*

11. *Subsection 45(1) is changed by replacing “circulate” with “provide”.*

12. These changes become effective on ●.