# **Canadian Securities Administrators Notice 11-313**

# Withdrawal of Notices and Policies

February 19, 2010

This notice formally withdraws a number of CSA and local notices and policies. In general, the withdrawn material will remain available for historical research purposes in the CSA members' websites that permit comprehensive access to CSA notices.

# **CSA Notices**

Staff of the members of the CSA have reviewed a number of CSA Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following CSA Notices are therefore withdrawn, in the applicable CSA jurisdictions in which they have not already been withdrawn, effective immediately.

11-303	The Uniform Securities Legislation Project
11-304	Responses to Comments Received on Concept Proposal Blueprint for Uniform Securities Laws for Canada
11-306	Extension of Comment Period for Consultation Drafts of the Uniform Securities Act and the Model Securities Administration Act
11-307	Responses to Comments Received on Consultation Drafts for a Uniform Securities Act and a Model Securities Administration Act
11-308	Guidelines for Use of Mobility Exemptions Under Part 5 of Multilateral Instrument 11-101 Principal Regulator System
11-402	Concept Proposal for Uniform Securities Legislation
11-404	Consultation Drafts of the Uniform Securities Act and the Model Administration Act
12-303	Exemptive Relief Applications and Year End
12-401	National Application System Concept Proposal
13-306	Guidance for SEDAR Users

13-307	Notice of Amendments to the SEDAR Filer Manual
13-308	Increases to SEDAR Annual Filing Service Charges
13-314	2005 Changes to SEDAR Annual Filing Service Charges
13-316	Amendments to the SEDAR Filer Manual
21-301	Canadian Venture Exchange
21-302	Confidentiality of Forms Filed under National Instrument 21-101 Marketplace Operation
23-305	Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)
31-308	Frequently Asked Questions Regarding NI 31-101 National Registration System and NP 31-201 National Registration System
31-309	Proposed National Instrument 31-103 Registration Requirements and Proposed Companion Policy 31-103CP Registration Requirements
31-310	Proposed NI 31-103 Registration Requirements and Proposed 31-103CP Registration Requirements
33-304	CSA Distribution Structures Committee Position Paper
33-307	List of Canadian Registrant and Non-registrant Firms that Completed the CSA STP Readiness Assessment Survey
33-401	Canadian Capital Market Association – T+1 White Paper
33-402	Joint Forum Requests Comments on Principles and Practices for the Sale of Products and Services in the Financial Sector
41-304	Income Trusts: Prospectus Disclosure of Distributable Cash
43-305	CSA Mining Technical Advisory and Monitoring Committee
44-401	Concept Proposal for an Integrated Disclosure System
45-302	Frequently Asked Questions Regarding the Resale Rules
45-305	Frequently Asked Questions Regarding National Instrument 45-106 Prospectus and Registration Exemptions

46-302	Consent to Amend Existing Escrow Agreements
51-301	Conversion of Corporate Issuers to Trusts
51-305	Canadian Capital Markets Association - Corporate Actions and Other Entitlements White Paper - October 2002
51-315	Guidance Regarding the Determination of Constant Prices for Bitumen Reserves under National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities
51-401	Concept Proposal for an Integrated Disclosure System
51-402	Illegal Insider Trading in Canada: Recommendations on Prevention, Detection and Deterrence Report
52-319	Status of Proposed Repeal and Replacement of Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings
52-401	Discussion Paper – Financial Reporting in Canada's Capital Markets
58-301	Extension of Comment Period for Proposed Multilateral Policy 58-201 Effective Corporate Governance and Proposed Multilateral Instrument 58-101 Disclosure Of Corporate Governance Practices
58-302	Implementation of Corporate Governance Policy and Related Disclosure Instrument
58-304	Review of National Instrument 58-101 Disclosure of Corporate Governance Practices and National Policy 58-201 Corporate Governance Guidelines
62-201	Bids Made Only in Certain Jurisdictions
62-301	Implementation of the Zimmerman Amendments Governing the Conduct of Take-over and Issuer Bids
62-303	Identifying the Offeror in a Take-over Bid
62-304	Conditions in Financing Arrangements for Take-over Bids and Issuer Bids
72-301	Distributions Outside the Local Jurisdiction Proposed Multilateral Instrument 72-101
81-310	Frequently Asked Questions – Fund of Fund Amendments

### **ASC Notices and Policies**

Staff of the Alberta Securities Commission have reviewed a number of ASC Notices and Policies. They have determined that some are outdated, no longer relevant, or no longer required. The following ASC Notices and Policies are therefore withdrawn, effective immediately.

- Notice of Public Forum to Discuss "Nets" and Market Fragmentation
   Surrender of Registration and Rescission of Uniform Act Policy No. 2-07
- 10 Cease Trade Orders Issued Due to Delinquency In Filing Financial Statements
- 57-603 Defaulting Reporting Issuers OSC Proposed Policy 57–603

# **OSC Notices**

Staff of the Ontario Securities Commission have reviewed a number of OSC Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following OSC Notices are therefore withdrawn, effective immediately.

- 11-721 Policy Reformulation Table of Concordance and List of New Instruments 11-725 Policy Reformulation Table of Concordance and List of New Instruments 11-726 Assignment of Policy Numbers 11-727 Assignment of Policy Numbers 11-730 Policy Reformulation Table of Concordance and List of New Instruments 11-731 Policy Reformulation Table of Concordance and List of New Instruments 11-732 Proposal for the Ontario Securities Administration Act 11-733 Policy Reformulation Table of Concordance and List of New Instruments 11-734 Policy Reformulation Table of Concordance and List of New Instruments
- 11-735 IOSCO and International Joint Forum Publish Reports on Outsourcing of Financial Services for Public Comment
- 11-736 North American Securities Administrators Association (NASAA) Seeks Public Comment on Proposal to Extend the Model Secondary Market Trading Exemption for Qualifying Canadian Securities to TSX Venture Exchange

11-738	IOSCO Seeks Public Comment on Draft Code of Conduct Fundamentals for Credit Rating Agencies
11-740	International Joint Forum Publishes Consultation Report on Credit Risk Transfer
11-741	IOSCO Publishes Draft Consultation Policy and Procedures for Public Comment
11-743	IOSCO Publishes Consultation Report Concerning Governance of Collective Investment Schemes
11-744	IOSCO and International Joint Forum Publish Final Recommendations about Outsourcing of Financial Services
11-745	IOSCO Publishes for Consultation Best Practices Standards on Anti-Market Timing and Anti-Money Laundering Guidance for Collective Investment Schemes
11-746	IOSCO Publishes Consultation Report: Policies on Error Trades
11-747	IOSCO and Basel Committee Publish Consultation Document on the Application of Basel II to Trading Activities and the Treatment of Double Default Effects
11-748	IOSCO Publishes a Discussion Paper of the Compliance Function at Market Intermediaries
11-749	International Joint Forum Publishes Final Report on Credit Risk Transfer
11-750	IOSCO Releases Survey Report on the Regulation and Oversight of Auditors
11-751	IOSCO Finalizes Consultation Policy and Procedures
45-706	OSC Small Business Advisory Committee
51-703	Implementation of Reporting Issuer Continuous Disclosure Review Program, Corporate Finance Branch
51-704	Office of the Chief Accountant MD&A Guide
51-708	Continuous Disclosure Review Program Report – August 2002
51-712	Corporate Finance Review Program Report – August 2003
51-715	Corporate Finance Review Program Report – October 2004

52-715 CICA Assurance Standards Board Exposure Draft – Auditor Assistance to Underwriters and Others

52-716 Filing Extensions for Continuous Disclosure Financial Statements

# **AMF Notices**

Staff of the Autorité des marchés financiers have reviewed a number of AMF Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following AMF Notices are therefore withdrawn, effective immediately.

CVMQ notice dated March 9, 2001 Offres publiques – Entrée en vigueur dans certaines provinces le 31 mars 2001 de modifications concernant les règles de conduite des offres publiques – la situation au Québec

CVMQ notice dated March 7, 2003 Forum conjoint des autorités de réglementation du marché financier – Projet de principes et pratiques relatifs à la vente de produits et services dans le secteur financier

Questions regarding this notice may be directed to:

Noreen Bent British Columbia Securities Commission Tel: (604) 899-6741

nbent@bcsc.bc.ca

Kari Horn

Alberta Securities Commission

Tel: (403) 297-4698 kari.horn@asc.ca

Manon Losier

**New Brunswick Securities Commission** 

Tel: (506) 643-7690

manon.losier@nbsc-cvmnb.ca

Chris Besko

The Manitoba Securities Commission

Tel: (204) 945-2561 Chris.Besko@gov.mb.ca Simon Thompson

**Ontario Securities Commission** 

Tel: (416) 593-8261

sthompson@osc.gov.on.ca

Sylvia Pateras

Autorité des marchés financiers Tel: (514) 395-0558, extension 2536 sylvia.pateras@lautorite.qc.ca

Barbara Shourounis

Saskatchewan Financial Services Commission

Tel: (306) 787-5842

bshourounis@sfsc.gov.sk.ca

Shirley Lee

Nova Scotia Securities Commission

Tel: (902) 424-5441 leesp@gov.ns.ca