

**CSA Staff Notice 11-339**  
*Notice of Local Changes in Alberta***November 1, 2018**

From time to time, a local jurisdiction may amend a national or multilateral instrument or change a policy or companion policy that affects activity only in that jurisdiction. The CSA recognize that such a local amendment or change may nonetheless be of interest or importance beyond the local jurisdiction and CSA staff are issuing this notice to identify changes implemented in Alberta. For public convenience, CSA members in other jurisdictions will update the text of the applicable material on their websites to reflect these local changes.

The local changes referred to in this notice comprise those shown in Annex A of this notice from Alberta to:

- Companion Policy 45-102 *Resale of Securities*

The text of this policy consolidation on the websites of CSA members will be updated as necessary to reflect these local changes. For further background on Annex A, see ASC Notice of Repeal and Replacement of Alberta Securities Commission Rule 72-501 *Distributions to Purchasers Outside Alberta* dated August 10, 2018. You may direct questions regarding this notice to:

Samir Sabharwal  
Alberta Securities Commission  
Tel: 403-297-7389  
[samir.sabharwal@asc.ca](mailto:samir.sabharwal@asc.ca)

Sylvia Pateras  
Autorité des marchés financiers  
Tel: 514-395-0337, ext. 2536  
[sylvia.pateras@lautorite.qc.ca](mailto:sylvia.pateras@lautorite.qc.ca)

Chris Besko  
Manitoba Securities Commission  
Tel: 204-945-2561  
[chris.besko@gov.mb.ca](mailto:chris.besko@gov.mb.ca)

Simon Thompson  
Ontario Securities Commission  
Tel: 416-593-8261  
[sthompson@osc.gov.on.ca](mailto:sthompson@osc.gov.on.ca)

Alicia Love  
Financial and Consumer Services  
Commission (New Brunswick)  
Tel: 506-658-2648  
[alicia.love@fcnbc.ca](mailto:alicia.love@fcnbc.ca)

Sonne Udemgba  
Financial and Consumer Affairs  
Authority of Saskatchewan  
Tel: 306-787-5879  
[sonne.udemgba@gov.sk.ca](mailto:sonne.udemgba@gov.sk.ca)

Steven Dowling  
Securities Division, Prince Edward Island  
Tel: 902-368-4551  
[sddowling@gov.pe.ca](mailto:sddowling@gov.pe.ca)

Jeff Mason  
Office of Superintendent of Securities  
Nunavut  
Tel: 867-975-6591  
[JMason@gov.nu.ca](mailto:JMason@gov.nu.ca)

Renée Dyer, Superintendent of Securities  
Office of the Superintendent of Securities,  
Service NL  
Tel: 709-729-4909  
[reenedyer@gov.nl.ca](mailto:reenedyer@gov.nl.ca)

H. Jane Anderson  
Nova Scotia Securities Commission  
Tel: 902-424-0179  
[jane.anderson@novascotia.ca](mailto:jane.anderson@novascotia.ca)

Rhonda Horte  
Office of Yukon Superintendent of  
Securities  
Tel: 867-667-5466  
[rhonda.horte@gov.yk.ca](mailto:rhonda.horte@gov.yk.ca)

Thomas Hall  
Department of Justice  
Government of the Northwest  
Territories  
Tel: 867-767-9260 ext. 82180  
[tom\\_hall@gov.nt.ca](mailto:tom_hall@gov.nt.ca)

**ANNEX A**

**CHANGES TO  
COMPANION POLICY 45-102 *RESALE OF SECURITIES***

1. *Companion Policy 45-102 Resale of Securities is changed by this Document.*
2. *Subsection 1.1(3) is changed by replacing the words “Alberta Securities Commission Blanket Order 45-519 Prospectus Exemptions for Resale Outside Canada” with the words “sections 10 and 11 of Alberta Securities Commission Rule 72-501 Distributions to Purchasers Outside Alberta”.*
3. These changes became effective on August 31, 2018.