

## CSA Staff Notice 13-315 (Revised)

### *Securities Regulatory Authority Closed Dates 2020*

**December 12, 2019**

We have a review system for prospectuses (including long form, short form and mutual fund prospectuses), prospectus amendments, pre-filings, and waiver applications. It is described in National Policy 11-202 *Process for Prospectus Reviews in Multiple Jurisdictions* (NP 11-202).

Under NP 11-202, a filer that receives a receipt from the principal regulator will be deemed to have a receipt in each passport jurisdiction where the prospectus was filed. However, the principal regulator's receipt will only evidence that the Ontario Securities Commission (OSC) has issued a receipt if the OSC is open on the date of the principal regulator's receipt and has indicated that it is "clear for final". If the OSC is not open on the date of the principal regulator's receipt, the principal regulator will issue a second receipt that evidences that the OSC has issued a receipt on the next day that the OSC is open.

The following is a list of the closed dates of the securities regulatory authorities for 2020 and January 2021. Bracketed information indicates those jurisdictions that are closed on the particular date. Issuers should note these dates in structuring their affairs.

1. Saturdays and Sundays (all)
2. Wednesday, January 1 (all)
3. Thursday, January 2 (QC)
4. Monday, February 17 (BC, AB, SK, MB, ON, NB, PE, NS)
5. Friday, February 21 (YT)
6. Monday, March 16 (NL)
7. Friday, April 10 (all)
8. Monday, April 13 (all except AB, SK, NU, ON)
9. Monday, May 18 (all)
10. Monday, June 22 (NL, YT, NT)
11. Wednesday, June 24 (QC)
12. Wednesday, July 1 (all)
13. Thursday, July 9 (NU)
14. Monday, July 13 (NL)
15. Monday, July 31 (SK)
16. Monday, August 3 (all except YT, QC, NL, PE)
17. Wednesday, August 5 (NL\*)
18. Monday, August 17 (YT)
19. Friday, August 21 (PE)
20. Monday, September 7 (all)
21. Monday, October 12 (all)
22. Wednesday, November 11 (all except AB, ON, QC)

23. Wednesday, December 23 (NT)
24. Thursday, December 24 (NT, QC)
25. Thursday, December 24 after 12:00 p.m. (NB, PE, NS), after 1:00 p.m. (YT, BC)
26. Friday, December 25 (all)
27. Monday, December 28 (all)
28. Tuesday, December 29 (NT)
29. Wednesday, December 30 (NT)
30. Thursday, December 31 (NT, QC)
31. Thursday, December 31 after 12:00 p.m. (NB), after 1:00 p.m. (BC)
32. Friday, January 1, **2021** (all)
33. Monday, January 4, **2021** (QC)

\*Weather permitting, otherwise observed on the first following acceptable weather day, such determination made on morning of holiday.