

**APPENDIX B**  
**Proposed Amendments and Proposed Changes**

**Schedule 2-B**  
**Proposed Amendments to National Instrument 41-101 *General Prospectus Requirements***

1. ***National Instrument 41-101 General Prospectus Requirements is amended by this Instrument.***
2. ***Subsection 3B.4(1) is amended by replacing “If an ETF or the ETF’s family has a website, the ETF must post to at least one of those websites” with “The ETF must post on its designated website”.***
3. ***Subsection 3B.4(2) is amended by replacing “posted to” with “posted on”.***
4. ***Subsection 3B.4(3) is repealed.***
5. ***Form 41-101F2 Information Required in an Investment Fund Prospectus is amended***
  - (a) ***by replacing in Item 20.3(a) “website” with “designated website”,***
  - (b) ***by replacing in Item 37.1 “[If applicable] These documents are available on the [investment fund’s/investment fund family’s] Internet site at [insert investment fund’s Internet site address]” with “These documents are available on the investment fund’s website at [insert investment fund’s designated website address]”.***
6. ***Form 41-101F3 Information Required in a Scholarship Plan Prospectus is amended***
  - (a) ***by replacing Item 12(2) of Part A with the following:***
    - (2) State the name, address, toll-free telephone number, email address of the investment fund manager of the plan and the scholarship plan’s designated website address. If applicable, also state the website address of the investment fund manager of the plan.,
  - (b) ***by replacing in Item 4.1(1) of Part B “[Insert if applicable - You’ll also find these documents on our website at [insert the scholarship plan’s website address]]” with “You’ll also find these documents on our website at [insert the scholarship plan’s designated website address]”,***
  - (c) ***by replacing in Item 15.1(2) of Part B “[Insert if applicable - You’ll also find these documents on our website at [insert the scholarship plan’s website address]]” with “You’ll also find these documents on our website at [insert the scholarship plan’s designated website address]”,***

- (d) *by replacing in Item 6.1 of Part C “website” with “designated website”,*
- (e) *by replacing in subsection (1) of the Instructions under Item 6.3 of Part C “website” with “designated website”,*
- (f) *by replacing in Item 2.5(2) of Part D “Internet Site” wherever it occurs with “designated website”, and*
- (g) *by replacing in Item 5.4(3) of Part D “scholarship plan’s website address” with “scholarship plan’s designated website address”.*

**7. Form 41-101F4 Information Required in an ETF Facts Document is amended**

- (a) *by replacing in paragraph (h) of Item 1 of Part I “[insert the website of the ETF, the ETF’s family or the manager of the ETF] [as applicable]” with “[insert the designated website of the ETF]”, and*
- (b) *by replacing Item 2(4) of Part I with the following:*

An ETF may include its designated website address where updated Quick Facts, Trading Information and Pricing Information are posted by stating:

For more updated Quick Facts, Trading Information and Pricing Information, visit [insert the designated website of the ETF]..

8. This Instrument comes into force [●].

**APPENDIX B**  
**Proposed Amendments and Proposed Changes**

**Schedule 4-A**  
**Proposed Amendments to National Instrument 41-101 *General Prospectus Requirements***

1. *National Instrument 41-101 General Prospectus Requirements is amended by this Instrument.*
2. *Subparagraph 9.1(1)(b)(ii) is replaced with the following:*
  - (ii) Personal Information Form and Authorization to Collect, Use and Disclose Personal Information – a completed personal information form for,
    - (A) each director and executive officer of an issuer,
    - (B) each promoter of the issuer, and
    - (C) if the promoter is not an individual,
      - (I) each director and executive officer of the promoter, if the issuer is not an investment fund, and
      - (II) each director and executive officer of the promoter, if the issuer is an investment fund and the promoter is not the manager of the investment fund; and.
3. *The following is added after subsection 9.1(1):*
  - (1.1) An investment fund is not required to deliver a personal information form for an individual referenced in subparagraph (1)(b)(ii) if the individual has submitted a Form 33-109F4 *Registration of Individuals and Review of Permitted Individuals* under National Instrument 33-109 *Registration Information*..
4. This Instrument comes into force on [●].

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**Proposed Amendments and Proposed Changes**

**Schedule 9**  
**Proposed Amendments to National Instrument 41-101 *General Prospectus Requirements***

1. *National Instrument 41-101 General Prospectus Requirements is amended by this Instrument.*
2. *Section 3C.7 is amended by adding the following subsection:*
  - (8) In Saskatchewan, instead of subsection (1), section 141 of *The Securities Act, 1988* applies.
3. This Instrument comes into force on [●].