

British Columbia Securities Commission

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BC Notice 2015/09

Notice of Memorandum of Understanding Respecting the Oversight of Clearing Agencies, Trade Repositories and Matching Service Utilities

The British Columbia Securities Commission, the Autorité des marchés financiers, the Alberta Securities Commission, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission (New Brunswick), the Manitoba Securities Commission and the Nova Scotia Securities Commission recently signed a Memorandum of Understanding (the MOU) for clearing agency, trade repository and matching service utility oversight. The MOU expresses the intention to coordinate oversight.

You may refer questions to: Doug MacKay, Manager Market and SRO Oversight Tel: 604-899-6609

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Brenda M. Leong Chair