



## Registrant Outreach Workshop

### Agenda

Tuesday, November 23, 2021

1:30 – 3:00 p.m.

Webex virtual room

<b>1:15 to 1:30</b>	<b>Webex Room Open for Sign in</b>
<b>1:30 to 1:35</b>	<b>Welcome</b> Mark Wang, Director, Capital Markets Regulation
<b>1:35 to 2:20</b>	<b>Client focused reforms (CFRs) – hot topics and application</b> Edwin Leong, Lead Compliance Analyst, Adviser/IFM Compliance Crystal He, Senior Compliance Analyst, Dealer Compliance Kathryn Anthistle, Senior Legal Counsel, Legal Services
<b>2:20 to 2:30</b>	<b>Break</b>
<b>2:30 to 2:55</b>	<b>CFRs and Q &amp; A</b> Edwin Leong, Crystal He, Kathryn Anthistle
<b>2:55 to 3:00</b>	<b>Closing</b> Mark Wang, Director, Capital Markets Regulation