

ANNEX F

Local Ontario Annex

Alternatives Considered

No alternatives to rule-making were considered.

Authority for Proposed Materials

The statutory rule-making authority in Ontario for the Proposed Materials is provided under subsection 143(1) of the *Securities Act*:

- Paragraph 1 of that subsection provides the Ontario Securities Commission with authority to prescribe requirements in respect of registration.
- Paragraph 39 of that subsection provides the Commission with authority in connection the “media, format, preparation, form content, execution, certification, dissemination and other use, filing and review” of documents required under or governed by the *Securities Act*.
- Paragraph 43 of that subsection provides the Commission with authority to prescribe fees payable to the Commission in connection with the administration of Ontario securities law.
- Paragraph 44 of that subsection provides the Commission with authority to vary the *Securities Act* to permit or require the use of an electronic or computer-based system for the filing, delivery or deposit of specified documents.
- Paragraph 45 of that subsection provides the Commission with authority to establish requirements for and procedures in respect of the use of an electronic or computer-based systems for the filing, delivery or deposit of documents or information.
- Paragraph 49 of that subsection provides the Commission with authority to permit or require, including through variations to the *Securities Act*, methods of filing or delivery by specified persons of specified material required under or governed by Ontario securities law.

Unpublished Materials

The Commission relies on no significant unpublished study, report or other written materials in proposing NI 13-102 or the other amendments described in Annexes C, D or E.