ANNEX B

AMENDMENTS TO NATIONAL INSTRUMENT 81-105 MUTUAL FUND SALES PRACTICES

- 1. National Instrument 81-105 Mutual Fund Sales Practices is amended by this Instrument.
- 2. Section 1.1 is amended in paragraph (d) of the definition of "member of the organization" by adding "associate or" before "affiliate".
- 3. Section 3.1 is amended
 - (a) by renumbering section 3.1 as subsection 3.1(1), and
 - (b) by adding the following subsection:
 - (2) Subsection (1) does not apply to a distribution of a security of a mutual fund to a client resident in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Newfoundland and Labrador, Prince Edward Island, Northwest Territories, Nunavut and Yukon..
- 4. This Instrument comes into force on June 1, 2022.