



BC Notice 2016/07

Specification of forms required to be filed under Multilateral Instrument 96-101 - *Trade Repositories and Derivatives Data Reporting*

Where the *Securities Act* (Act) or regulations provide that a record be in a required form, under section 182(1) of the Act the Executive Director may specify the form.

Multilateral Instrument 96-101 *Trade Repositories and Derivatives Data Reporting* requires that a person

- applying for recognition as trade repository in BC file Form 96-101F1 *Application for Recognition – Trade Repository Information Statement* (Form 96-101F1) as part of its application
- applying for recognition as a trade repository, whose head office or principal place of business is located in a foreign jurisdiction, file Form 96-101F2 *Trade Repository Submission to Jurisdiction and Appointment of Agent for Service of Process* (MI 96-101F2)
- that intends to cease carrying on business as a trade repository in BC must file a Form 96-101F3 *Cessation of Operations Report for Recognized Trade Repository* (MI 96-101F3).

Pursuant to section 182(1) of the Act, the Executive Director specifies that

- the attached Form 96-101F1 is the required form for a person applying for recognition as a trade repository
- the attached Form 96-101F2 is the required form for a person applying for recognition as a trade repository whose head office or principal place of business is located in a foreign jurisdiction
- the attached Form 96-101F3 is the required form for a person that intends to cease carrying on business as a trade repository in BC

These forms are effective as of July 29, 2016.

July 27, 2016

Brenda Leong
Executive Director