



BC Notice 2018/08

**Notice of Amendments to National Instrument 41-101  
*General Prospectus Requirements***

Today, the British Columbia Securities Commission adopted local non-material amendments to National Instrument 41-101 *General Prospectus Requirements* (NI 41-101). The non-material amendments are effective December 10, 2018.

These amendments:

- add a BC carve-out to the meaning of a dealer acting as agent of the purchaser in section 3C.6 of NI 41-101, which achieves the objective of introducing a summary disclosure document regime for exchange traded funds under which all dealers who receive an order to buy an exchange traded fund (ETF) must deliver an ETF Facts document to the purchaser. Ontario and Québec have the same carve-out
- specify that the purchaser's right of action if an ETF Facts document is not delivered or sent is found in section 135 of the *Securities Act* (British Columbia).

**Questions**

You may refer questions to:

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December 10, 2018

Brenda M. Leong  
Chair