



British Columbia Securities Commission

Citation: 2014 BCSECCOM 149

Stay Order

**Catherine Deborah Jones and
Investment Industry Regulatory Organization of Canada**

Section 28 of the *Securities Act*, RSBC 1996 c. 418

- ¶ 1 On December 16, 2013 and March 31, 2014, the Investment Industry Regulatory Organization of Canada (IIROC) issued its liability and penalty decisions respectively, regarding Catherine Deborah Jones.
- ¶ 2 On January 13, 2014 and April 10, 2014, Jones requested under section 28 of the *Securities Act*, RSBC 1996, c. 418 that the Commission review the IIROC decisions, and stay the penalty decision, except the strict supervision requirement, until the disposition of the review.
- ¶ 3 IIROC consents to Jones' request for a stay on condition that Jones be placed under strict supervision until the disposition of the review. The Executive Director has made no submissions.
- ¶ 4 Considering that to do so would not be prejudicial to the public interest, the Commission grants a stay of the IIROC penalty decision, except for the strict supervision requirement, until the disposition of the review on the following conditions:
1. Jones files her statement of points within 60 days; and
 2. the review is held within 180 days after Jones files her statement of points.
- ¶ 5 If any of the conditions for granting the stay is not met, then this stay is revoked.
- ¶ 6 May 2, 2014

Nigel P. Cave
Vice Chair