

# COURT OF APPEAL FOR BRITISH COLUMBIA

## FORM 1

### NOTICE OF APPEAL (RULE 6(1))



Court of Appeal File No.  
(For Registry Use Only)

Case File No. **CA51045**

Supreme Court File No.

The file number can be found on the upper right corner of the Supreme Court documents

Supreme Court Registry Location

#### To the respondent(s)

A Court proceeding has been commenced against you in the Court of Appeal. See the final page of this form for details on how to respond.

## 1. PARTIES TO THE APPEAL

#### Appellant(s)

List the party(ies) appealing the Supreme Court or tribunal order. Identify their roles in the proceeding below in brackets. E.g., Jane Doe (plaintiff, petitioner, etc.)

Kuldeep Singh Sidhu (Respondent)

#### Respondent(s)

List the other party(ies) in the Supreme Court or tribunal order you are appealing who are affected by the appeal. Identify their roles in the proceeding below in brackets. E.g., Jane Doe (defendant).

Executive Director of the British Columbia Securities Commission (Applicant)

## 2. THE ORDER YOU ARE APPEALING

### Is leave to appeal required?

Court of Appeal Rule 12 explains when you need leave to appeal. If you are unsure, check "Yes".

Yes  No

### Who made the order?

Name the justice or other decision maker who pronounced the order you are appealing.

Gordon Johnson, Vice Chair of the British Columbia Securities Commission and Douglas Seppala, Commissioner of the British Columbia Securities Commission

### What court and/or tribunal pronounced the order(s)?

Supreme Court  Tribunal

British Columbia Securities Commission

Name of tribunal

### Date the order was pronounced

Include the day, month and year that the order being appealed was pronounced (not the date the

September 9, 2025

DD/MM/YYYY

### City where the order was pronounced

### Length of lower court hearing

Indicate in days or hours the length of the hearing that led to the order you are appealing from. For example, if you are appealing a judgment from a trial that took two hours, enter "two hours."

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### What type of proceeding are you appealing from?

Check one only.

Trial Judgment  Order of a Tribunal  
 Summary Trial Judgment  Chambers Judgment

## 3. RELIEF SOUGHT

If leave to appeal is not required, fill out Part A. If you are seeking leave to appeal, fill out Part B.

### PART A: LEAVE NOT REQUIRED

#### Part of the order being appealed

If you only want to appeal one part of an order, enter the part

**Order(s) you are seeking on appeal**

Briefly list the order(s) you will ask this Court to make on appeal. For example: "Set aside the trial judgment and order a new trial". Include any order as to costs.

**PART B: SEEKING LEAVE TO APPEAL**

**Part of the order being appealed**

If you are only seeking leave to appeal one part of an order, enter the part that you are seeking leave to appeal.

**Grounds for leave to appeal**

Be as specific as possible. For example, if you believe the trial judge used an incorrect legal test or otherwise misapplied the law, indicate that here.

The British Columbia Securities Commission issued an order on September 9, 2025, pursuant to sections 161 (1) and (6) of the Securities Act, RSBC 1996, c. 418 (the "Securities Act"), imposing sanctions on Kuldeep Singh Sidhu, without providing him with a hearing, as required by Sections 161 (1) and (6) of the Securities Act. Additionally, the British Columbia Securities Commission misapplied the law in respect of the statutory limitation period contained in section 159 of the Securities Act.

Section 167 (1) of the Securities Act provides that a person may appeal a decision of the BC Securities Commission to the British Columbia Court of Appeal with leave of a justice of that court.

**4. ADDITIONAL INFORMATION**

**Sealing order**

Is there an order sealing any part of the trial court or tribunal file? If yes, add date(s).

Yes  No

Date

DD/MM/YYYY

**Anonymity order/publication ban**

Are there orders that protect the identity of a party or parties? If yes, add date(s).

Yes  No

Date

DD/MM/YYYY

**Areas of law raised in the appeal**

You may check more than one box if appropriate. For example, you should check "motor vehicle accidents" and "torts" for a personal injury claim involving a

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Constitutional/Administrative | <input type="checkbox"/> Civil Procedure | <input type="checkbox"/> Commercial        |
| <input type="checkbox"/> Motor Vehicle Accidents                  | <input type="checkbox"/> Municipal Law   | <input type="checkbox"/> Real Property     |
| <input type="checkbox"/> Torts                                    | <input type="checkbox"/> Equity          | <input type="checkbox"/> Wills and Estates |
| <input type="checkbox"/> Divorce Act (Canada)                     | <input type="checkbox"/> Family Law Act  | <input type="checkbox"/> Other             |

**Appeals involving children**

Does this appeal involve the rights or interests of a child? E.g., Parenting order

Yes  No

**5. SERVICE**

**Are you representing yourself?**

Yes  No

**Name(s) and address(es) within BC for service of appellant(s).** If you have a lawyer, include the law firm's address; otherwise provide your own residential address.

Lisa D. Ridgedale/M. McGarry  
MLT Aikins LLP  
1066 W Hastings St #2600  
Vancouver, BC V6E 3X1

**Phone number(s) of appellant(s)**

(604) 608-4590; (604) 924-8727

**Email address(es) for service of appellant(s)**

If you provide an email address, you are consenting to have documents served on you by email.

lridgedale@mltaikins.com; mmcgary@mltaikins.com

**Date form completed**

Date

October 7, 2025

DD/MM/YYYY

**Name of lawyer or party authorizing filing of this form**

Lisa D. Ridgedale

**To the appellant(s):**

You must file and serve this form on each respondent named in this document within the timelines required by the *Court of Appeal Act* and Court of Appeal Rules. You must file a Notice of Hearing **not more than one year** after filing this Form 1 or your appeal will be placed on the inactive list (Rule 50(1)(a)).

**To the respondent(s)**

**If you intend to participate** in this proceeding, **you must give notice** of your intention by doing the following **not more than 10 days** after receiving this Notice of Appeal: (1) file a "Notice of Appearance" (Form 2 of the *Court of Appeal Rules*) in a Court of Appeal registry and; (2) serve the Notice of Appearance on the appellant.

If you fail to file and serve a Notice Appearance:

- (a) You are presumed to take no position on the appeal, or the application for leave to appeal (if leave is required).
- (b) The parties are not obliged to serve you with any further documents related to the appeal, including an order granting leave to appeal (if leave is required).

**You are presumed to take no position if you fail** to file and serve a Notice of Appearance within the time described above. The filing registries for the British Columbia Court of Appeal are as follows.

Central Registry:

B.C. Court of Appeal  
Suite 400, 800 Hornby St.  
Vancouver BC V6Z 2C5

Other Registries:

B.C. Court of Appeal  
The Law Courts  
P.O. Box 9248  
STN PROV GOVT  
850 Burdett Ave.  
Victoria BC V8W 1B4

B.C. Court of Appeal  
223 - 455 Columbia St.  
Kamloops BC V2C 6K4

Inquiries should be addressed to (604) 660-2468.