

COURT OF APPEAL FOR BRITISH COLUMBIA

FORM 1

NOTICE OF APPEAL (RULE 6(1))



Court of Appeal File No.
(For Registry Use Only)

Case File No. **CA50657**

Supreme Court File No.

The file number can be found on the upper right corner of the Supreme Court documents

Supreme Court Registry Location

To the respondent(s)

A court proceeding has been commenced against you in the Court of Appeal. See the final page of this form for details on how to respond.

1. PARTIES TO THE APPEAL

Appellant(s)

List the party(ies) appealing the Supreme Court or tribunal order.

Identify their roles in the proceeding below in brackets.

E.g., Jane Doe (plaintiff, petitioner, etc.)

Teymur Englesby and Cale Nishimura

Respondent(s)

List the other party(ies) in the Supreme Court or tribunal order you are appealing who are affected by the appeal. Identify their roles in the proceeding below in brackets. E.g., Jane Doe (defendant).

Canadian Investment Regulatory Organization (CIRO)
British Columbia Securities Commission (BCSC)
Executive Director of the British Columbia Securities Commission

2. THE ORDER YOU ARE APPEALING

Is leave to appeal required?
Court of Appeal Rule 12 explains when you need leave to appeal. If you are unsure, check "Yes."

☒ Yes

☐ No

Who made the order?
Name the justice or other decision maker who pronounced the order you are appealing.

Gordon Johnson (Vice Chair)
Karen Keilty (Commissioner)
Judith Downes (Commissioner)

What court and/or tribunal pronounced the order(s)?

☐ Supreme Court

☒ Tribunal

British Columbia Securities Commission (BCSC)

Name of tribunal

Date the order was pronounced
Include the day, month and year that the order being appealed was pronounced (not the date the order was entered).

07/04/2025

DD/MM/YYYY

City where the order was pronounced

Vancouver, British Columbia

Length of lower court hearing
Indicate in days or hours the length of the hearing that led to the order you are appealing from. For example, if you are appealing a judgment from a trial that took two hours, enter "two hours."

Three Days (January 23, 24, and February 3, 2025)

What type of proceeding are you appealing from?
Check only one.

☐ Trial Judgment

☒ Order of a Tribunal

☐ Summary Trial Judgment

☐ Chambers Judgment

3. RELIEF SOUGHT

If leave to appeal is not required, fill out Part A. If you are seeking leave to appeal, fill out Part B.

PART A: LEAVE NOT REQUIRED

Part of the order being appealed

If you only want to appeal one part of an order, enter the part that is being appealed.

Order(s) you are seeking on appeal

Briefly list the order(s) you will ask this Court to make on appeal. For example: "Set aside the trial judgment and order a new trial."

PART B: SEEKING LEAVE TO APPEAL

Part of the order being appealed

If you are only seeking leave to appeal one part of an order, enter the part that you are seeking leave to appeal.

The appellants seek leave to appeal the Commission's decision:

1. Finding that CIRO has standing to apply for a hearing and review under s. 28(1) of the *Securities Act*, [RSBC 1996], c. 418;
2. Dismissing the application to strike the hearing and review;
3. Finding that the CIRO hearing panel applied the wrong test in *Re Englesby and Nishimura*, 2024 CIRO 63.

Grounds for leave to appeal

Be as specific as possible. For example, if you believe the trial judge used an incorrect legal test or otherwise misapplied the law, indicate that here.

1. The Commission erred in finding that when CIRO seeks a hearing and review, CIRO is not appealing its own decision.
2. The Commission erred in finding that CIRO was "directly affected" by the decision in *Re Englesby and Nishimura*, 2024 CIRO 63, within the meaning of s. 28(1) of the *Securities Act*, [RSBC 1996], c. 418.
3. The Commission erred in finding that the CIRO hearing panel applied the wrong test in *Re Englesby and Nishimura*, 2024 CIRO 63.

4. ADDITIONAL INFORMATION

Sealing order

Is there an order sealing any part of the trial court or tribunal file? If yes, add date(s).

☐ Yes

☒ No

Date

DD/MM/YYYY

Anonymity order/publication ban

Are there orders that protect the identity of a party or parties? If yes, add date(s).

☐ Yes

☒ No

Date

DD/MM/YYYY

Areas of law raised in the appeal

You may check more than one box if appropriate. For example, you should check "motor vehicle accidents" and "torts" for a personal injury claim involving a motor vehicle accident.

☒ Constitutional/Administrative

☐ Civil Procedure

☒ Commercial

☐ Motor Vehicle Accidents

☐ Municipal Law

☐ Real Property

☐ Torts

☐ Equity

☐ Wills and Estates

☐ Divorce Act (Canada)

☐ Family Law Act

☒ Other

Appeals involving children

Does this appeal involve the rights or interests of a child? E.g., parenting order.

☐ Yes

☒ No

5. SERVICE

Are you representing yourself?

☐ Yes

☒ No

Name(s) and address(es) within BC for service of appellant(s) *If you have a lawyer, include the law firm's address; otherwise provide your own residential address.*

McCarthy Tétrault LLP

Suite 2400

745 Thurlow Street

Vancouver BC V6E 0C5

Attention:

Owais Ahmed

Jessica Mank

Phone number(s) of appellant(s)

604-643-5964 (O. Ahmed)

604-643-5992 (J. Mank)

Email address(es) for service of the appellant(s)

If you provide an email address, you consent to have documents served on you by email.

oahmed@mccarthy.ca

jmank@mccarthy.ca

Date form completed

06/05/2025

Name of lawyer or party authorizing filing of this form

Owais Ahmed

DD/MM/YYYY

To the appellant(s):

You must file and serve this form on each respondent named in this document within the timelines required by the *Court of Appeal Act* and Court of Appeal Rules. You must file a Notice of Hearing **not more than one year** after filing this Form 1 or your appeal will be placed on the inactive list (Rule 50(1)(a)).

To the respondent(s)

If you intend to participate in this proceeding, **you must give notice** of your intention by doing the following **not more than 10 days** after receiving this Notice of Appeal: (1) file a "Notice of Appearance" (Form 2 of the *Court of Appeal Rules*) in a Court of Appeal registry and; (2) serve the Notice of Appearance on the appellant.

If you fail to file and serve a Notice of Appearance:

- (a) You are presumed to take no position on the appeal, or the application for leave to appeal (if leave is required).
- (b) The parties are not obliged to serve you with any further documents related to the appeal, including an order granting leave to appeal (if leave is required).

You are presumed to take no position if you fail to file and serve a Notice of Appearance within the time described above. The filing registries for the British Columbia Court of Appeal are as follows.

Central Registry:

B.C. Court of Appeal
Suite 400, 800 Hornby St.
Vancouver BC V6Z 2C5

Other Registries:

B.C. Court of Appeal
The Law Courts
P.O. Box 9248
STN PROV GOVT
850 Burdett Ave.
Victoria BC V8W 1B4

B.C. Court of Appeal
223 - 455 Columbia St.
Kamloops BC V2C 6K4

Inquiries should be addressed to (604) 660-2468.