

VANCOUVER
MAY 18 2018
COURT OF APPEAL
REGISTRY

Court of Appeal File No.

COURT OF APPEAL

RAFFI KHORCHIDIAN

APPELLANT

AND:

BRITISH COLUMBIA SECURITIES COMMISSION and the EXECUTIVE DIRECTOR OF THE
BRITISH COLUMBIA SECURITIES COMMISSION

RESPONDENTS

NOTICE OF APPLICATION FOR LEAVE TO APPEAL

Take notice that the Appellant, Raffi Khorchidian, hereby applies for leave to appeal to the Court of Appeal for British Columbia from the decision of the British Columbia Securities Commission pronounced the 25th day of April 2018, at Vancouver, British Columbia.

1. The appeal is from an:
 - Trial Judgment Summary Trial Judgment
 - Order of a Statutory Body Chambers Judgment

2. If the appeal is from an appeal under Rule 18-3 or 23-6 (8) of the Supreme Court Civil Rules or Rule 18-3 or 22-7 (8) of the Supreme Court Family Rules, name the maker of the original decision, direction or order: n/a

3. Please identify which of the following is involved in the appeal:

<input checked="" type="checkbox"/> Administrative	<input type="checkbox"/> Civil Procedure	<input type="checkbox"/> Commercial
<input type="checkbox"/> Family	<input type="checkbox"/> Motor Vehicle Accidents	<input type="checkbox"/> Municipal Law
<input type="checkbox"/> Real Property	<input type="checkbox"/> Torts	<input type="checkbox"/> Equity
<input type="checkbox"/> Wills and Estates		

And further take notice that the Court of Appeal will be moved at the hearing of this application for an order that:

- a. the British Columbia Securities Commission's decision that the Appellant contravened section 57(a) of the *Securities Act*, RSBC 1996, c. 418 (the "Act") be set aside; and
- b. costs of the appeal are payable by the Respondents.

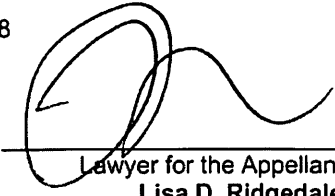
The grounds of appeal are:

- a. The British Columbia Securities Commission erred in law and in principle and, or in the alternative, was clearly wrong, in finding that the Appellant contravened section 57(a) of the Act; and

- b. Further, or in the alternative, the reasons delivered April 25th, 2018 are inadequate for the reason that they do not provide a sufficiently discernable basis upon which the findings against the Appellant have been made.

The hearing of this proceeding occupied 4 days.

Dated at Vancouver, British Columbia, this 17th day of May, 2018



Lawyer for the Appellant
Lisa D. Ridgedale

To the respondent: The Executive Director of the British Columbia Securities Commission
And to its solicitor: Derek Chapman/David Hailey

To the respondent: The British Columbia Securities Commission

This Notice of Leave to Appeal is given by Hakemi & Ridgedale LLP, whose address for service is 1500-888 Dunsmuir Street, Vancouver, BC V6C 3K4 (Attention: Lisa Ridgedale/Leah Shepherd)

To the respondents

IF YOU INTEND TO PARTICIPATE in this proceeding, YOU MUST GIVE NOTICE of your intention by filing a form entitled "Notice of Appearance" (Form 2 of the Court of Appeal Rules) in a Court of Appeal registry and serve the notice of appearance on the appellant WITHIN 10 DAYS of receiving this Notice of Application for Leave to Appeal.

IF YOU FAIL TO FILE A NOTICE OF APPEARANCE

- (a) you are deemed to take no position on the application, and
- (b) the parties are not obliged to serve you with any further documents related to the application.

The filing registries for the British Columbia Court of Appeal are as follows:

Central Registry:
B.C. Court of Appeal
Suite 400, 800 Hornby Street
Vancouver BC V6Z 2C5

Other Registries:
B.C. Court of Appeal
The Law Courts
P.O. Box 9248 STN PROV GOVT
850 Burdett Ave
Victoria BC V8W 1B4

B.C. Court of Appeal
223 – 455 Columbia Street
Kamloops BC V2C 6K4

Inquiries should be addressed to (604) 660-2468 Fax filings: (604) 660-1951