Form 45-106F1 Report of Exempt Distribution

BCSC EDER Reference Number 9244299

ITEM 1 - REPORT TYPE				
✓ New report				
☐ Amended report If ame	nded, provide filing date	of report that is being	amended	(YYYY-MM-DD)
ITEM 2 - PARTY CERTIFYING TH	e Report			
Indicate the party certifying the report (sel Instrument 81-106 Investment Fund Conti			an investment fund, refer to s	ection 1.1 of National
☐ Investment fund issuer	naous Disclosure and the comp	umon policy to the or Too.		
✓ Issuer (other than an investment of the state of th	stment fund)			
Underwriter	······································			
ITEM 3 - ISSUER NAME AND OT	THE DENTIFIED			
Provide the following information about the		vertment fund, about the fi	und	
Full legal name			ma.	
Previous full legal name	7 thin Balancea World			
If the issuer's name changed in the last 12 months, provide most recent previous legal name.				
Website			licable)	
If the issuer has a legal entity identifier, pr	ovide below. Refer to Part B of t	he Instructions for the defir	ition of "legal entity identifie	r".
Legal entity identifier				
If two or more issuers distributed a single				ove.
Full legal name(s) of co-issuer(s)	s) of co-issuer(s) (if applicable)			
ITEM 4 - UNDERWRITER INFORM	MATION			
If an underwriter is completing the report,	provide the underwriter's full le	gal name and firm NRD nu	mber.	
Full legal name				
Firm NRD number (if applicable)				
If the underwriter does not have a firm NR	If the underwriter does not have a firm NRD number, provide the head office contact information of the underwriter.			
Street address				
Municipality		Province/S	tate	$\overline{}$
Country		Postal code/Zip c	ode	一
Telephone number		Web	site	(if applicable)

ITEM 5 - ISSUER INFORMATION
If the issuer is an investment fund, do not complete Item 5. Proceed to Item 6.
a) Primary industry
Provide the issuer's North American Industry Classification Standard (NAICS) code (6 digits only) that in your reasonable judgment most closely corresponds to the issuer's primary business activity.
NAICS industry code 5 2 2 9 9
If the issuer is in the mining industry , indicate the stage of operations. This does not apply to issuers that provide services to issuers operating in the mining industry. Select the category that best describes the issuer's stage of operations.
Exploration Development Production
Is the issuer's primary business to invest all or substantially all of its assets in any of the following? If yes, select all that apply.
✓ Mortgages ☐ Real estate ☐ Commercial/business debt ☐ Consumer debt ☐ Private companies
☐ Cryptoassets
b) Number of employees
Number of employees:
c) SEDAR profile number
Does the issuer have a SEDAR profile?
No ✓ Yes If yes, provide SEDAR profile number 0 0 0 3 8 5 6 8
If the issuer does not have SEDAR profile complete item 5(d) - (h).
d) Head office address
Street address Province/State
Municipality Postal code/Zip code
Country Telephone number
e) Date of formation and financial year-end
Date of formation Financial year-end MM DD
f) Reporting issuer status
Is the issuer a reporting issuer in any jurisdication of Canada? No Yes
If yes, select the jurisdictions of Canada in which the issuer is a reporting issuer.
_ AII _ AB _ BC _ MB _ NB _ NL _ NT
NS NU ON PE QC SK YT
g) Public listing status
If the issuer has a CUSIP number, provide below (first 6 digits only)
CUSIP number
If the issuer is publicly listed, provide the name of the exchange on which the issuer's equity securities primarily trade. Provide only the name of an
exchange and not a trading facility such as, for example, an automated trading system. Exchange name
h) Size of issuer's assets
11) 6120 01 100001 0 000010

Select the size of the issuer's assets based on its most recently available annual financial statements (Canadian \$). If the issuer has not prepared annual financial statements for its first financial year, provide the size of the issuer's assets at the distribution end date.

☐ \$0 to under \$5M	☐ \$5M to under \$25M	☐ \$25M to under \$100M
\$100M to under \$500M	☐ \$500M to under \$1B	\$1B or over

ITEM 6 - INVESTMENT	FUND ISSUER INFORMATION
If the issuer is an inves	tment fund, provide the following information.
a) Investment fund ma	anager information
Full legal name	
Firm NRD number	(if applicable)
If the investment fund mand	ager does not have a firm NRD number, provide the head office contact information of the investment fund manager.
Street address	
Municipality	Province/State
Country	Postal code/Zip code
Telephone number	Website (if applicable)
b) Type of investment	fund
Type of investment fund tha	nt most accurately identifies the issuer (select only one) .
Money market	☐ Equity ☐ Fixed income ☐ Balanced
Alternative strateg	gies Cryptoasset Other (describe)
Indicate whether one or bot	h of the following apply to the investment fund .
Invests primarily in	n other investment fund issuers
☐ Is a UCITs Fund¹	
¹ Undertaking for the Collec (EU) directives that allow c	tive Investment of Transferable Securities funds (UCITs Funds) are investment funds regulated by the European Union collective investment schemes to operate throughout the EU on a passport basis on authorization from one member state.
c) Date of formation a	and financial year-end of the investment fund
Date of forma	tion Financial year-end MM DD MM DD
d) Reporting issuer st	atus of the investment fund
Is the investment fund a rep	orting issuer in any jurisdication of Canada? No Yes
If yes, select the jurisdictions	s of Canada in which the investment fund is a reporting issuer.
☐ AII ☐	AB BC MB NB NL NT
□ NS □	NU ON PE QC SK YT
e) Public listing status	s of the investment fund
If the investment fund has a	CUSIP number, provide below (first 6 digits only)
	CUSIP number
	blicly listed, provide the name of the exchange on which the investment fund's securities primarily trade. Provide only the not a trading facility such as, for example, an automated trading system.
Exchange on an exchange and h	
-	AV) of the investment fund
	investment fund as of the date of the most recent NAV calculation (Canadian \$).
\$0 to under \$5M	s5M to under \$25M s25M to under \$100M
\$100M to under \$500	DM \$500M to under \$1B \$1B or over Date of NAV calculation: YYYY MM DD

ITEM 7 - INFORMATION ABOUT THE DISTRIBUTION

If an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include in Item 7 and Schedule 1 information about purchasers resident in that jurisdiction of Canada only. Do not include in Item 7 securities issued as payment of commissions or finder's fees in connection with the distribution, which must be disclosed in Item 8. The information provided in Item 7 must reconcile with the information provided in Schedule 1 of the report.

١.	_	
a)) Currency	•
u	, Cullelley	

Select the currency or currencies in which the distribution was made. All dollar amounts provided in the report must be in Canadian dollars.

✓ Canadian dollar US dollar Euro Other (describe)

b) Distribution date(s)

State the distribution start and end dates. If the report is being filed for securities distributed on only one distribution date, provide the distribution date as both the start and end dates. If the report is being filed for securities distributed on a continuous basis, include the start and end dates for the distribution period covered by the report.

Start date 2020 09 10

End date 2020 09 17

c) Detailed purchaser information

Complete Schedule 1 of this form for each purchaser and attach the schedule to the completed report.

d) Types of securities distributed

Provide the following information for all distributions reported on a per security basis. Refer to Part A(12) of the Instructions for how to indicate the security code. If providing the CUSIP number, indicate the full 9-digit CUSIP number assigned to the security being distributed.

							Canadian \$	
	ecuri code	,	CUSIP number (if applicable)	Description of security	Number of securities	Single or lowest price	Highest price	Total amount
Р	R	S		Class A Preferred Non-Voting Shares	193,092.00	1.0000		193,092.00
Р	R	S		Class B Series 'B' Preferred Non- Voting Shares	2,242,721.00	1.0000		2,242,721.00
Р	R	S		Class B Series 'C' Preferred Non- Voting Shares	1,755,084.00	1.0000		1,755,084.00

e) Details of rights and convertible/exchangeable securities

If any rights (e.g. warrants, options) were distributed, provide the exercise price and expiry date for each right. If any convertible/exchangeable securities were distributed, provide the conversion ratio and describe any other terms for each convertible/exchangeable security.

Convertible / exchangeable security code	Underlyi security o	-		ise price adian \$)	Expiry date (YYYY- MM-DD)	Conversion ratio	Describe other items (if applicable)
			Lowest	Highest			

f) Summary of the distribution by jurisdiction and exemption

State the total dollar amount of securities distributed and the number of purchasers for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides and for each exemption relied on in Canada for that distribution. However, if an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include distributions to purchasers resident in that jurisdiction of Canada only.

This table requires a separate line item for: (i) each jurisdiction where a purchaser resides, (ii) each exemption relied on in the jurisdiction where a purchaser resides, if a purchaser resides in a jurisdiction of Canada, and (iii) each exemption relied on in Canada, if a purchaser resides in a foreign jurisdiction.

For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Exemption relied on	Number of unique ²⁸ purchasers	Total amount (Canadian \$)
British Columbia	NI 45-106 2.3 [Accredited investor]	11	1,521,084.00
British Columbia	NI 45-106 2.9(1) [Offering memorandum] (BC, NL)	12	1,538,313.00
Ontario	NI 45-106 2.3 [Accredited investor]	7	875,750.00
Ontario	NI 45-106 2.9(2.1) [Offering memorandum] (AB, SK, ON, QC, NB, NS)	9	149,500.00

Québec	NI 45-106 2.9(2.1) [Offering memorandum] (AB, SK, ON, QC, NB, NS)	1	106,250.00
	Total dollar amount of se	curities distributed	4,190,897.00
	Total number of unique purchasers ^{2b}	40	

^{2a} In calculating the number of unique purchasers per row, count each purchaser only once. Joint purchasers may be counted as one purchaser.

g) Net proceeds to the investment fund by jurisdiction

If the issuer is an investment fund, provide the net proceeds to the investment fund for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides. If an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include net proceeds for that jurisdiction of Canada only. For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Net proceeds (Canadian \$)
Total net proceeds to the investment fund	

³"Net proceeds" means the gross proceeds realized in the jurisdiction from the distributions for which the report is being filed, less the gross redemptions that occurred during the distribution period covered by the report.

h) Offering materials - This section applies only in Saskatchewan, Ontario, Québec, New Brunswick and Nova Scotia.

If a distribution has occurred in Saskatchewan, Ontario, Québec, New Brunswick or Nova Scotia, complete the table below by listing the offering materials that are required under the prospectus exemption relied on to be filed with or delivered to the securities regulatory authority or regulator in those jurisdictions.

In Ontario, if the offering materials listed in the table are required to be filed with or delivered to the Ontario Securities Commission (OSC), attach an electronic version of the offering materials that have not been previously filed with or delivered to the OSC.

	Description	Date of document or other material (YYYY-MM-DD)	Previously filed with or delivered to regulator? (Y/N)	Date previously filed or delivered (YYYY-MM-DD)
1.	Offering Memorandum	2019-10-24	Υ	2019-11-01

^{2b} In calculating the total number of unique purchasers to which the issuer distributed securities, count each purchaser only once, regardless of whether the issuer distributed multiple types of securities to, and relied on multiple exemptions for, that purchaser.

Provide information for each person (as defined in NI 45-106) to whom the issuer directly provides, or will provide, any compensation in connection with the distribution. Complete additional copies of this page if more than one person was, or will be, compensated.
Indicate whether any compensation was paid, or will be paid, in connection with the distribution.
No ✓ Yes If yes, indicate number of persons compensated. 8

a) Name of person compe	ensated and regis	stration s	tatus								
Indicate whether the person com	npensated is a regist	rant.		☐ No		✓ Y	⁄es				
If the person compensated is an	individual, provide	the name o	of the indi	vidual.							
Full legal name of indivi	dual										
		Family nam	ie		First o	given nan	ne		Secondary of	jiven names	
If the person compensated is not	t an individual, prov	ide the fol	lowing info	ormation.							
Full legal name of	of non-individual	Markhai	m Centre	Financial	Securiti	es Inc.					
Fir	m NRD number	6	1 (3	0		(it	f applic	cable)		
Indicate whether the person com	npensated facilitated	the distri	bution thro	ough a fund	ing porta	l or an ir	nternet-bo	ased po	ortal.] No [Yes
b) Business contact inforr	mation										
If a firm NRD number is not prov	vided in Item 8 (a), ¡	provide the	business	contact info	rmation c	of the pe	erson being	g comp	pensated.		
Street address											
Municipality						Pro	ovince/St	ate			
Country					Po	stal co	de/Zip co	ode			
Email address					7	Telepho	one num	ber			
c) Relationship to issuer of	or investment fun	d manag	er								
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)											
Director or officer of th	e investment fund	or investm	ent fund r	manager	ΠE	mployee	e of the is	suer o	r investment fun	d manager	
✓ None of the above					_						
d) Compensation details											
Provide details of all compensation Canadian dollars. Include cash continuities incidental to the distribution, such allocation arrangements with the	ommissions, securiti h as clerical, printin e directors, officers o	es-based c g, legal or r employe	ompensat accountin	tion, gifts, dis ng services. A	scounts oi n issuer i	r other c	ompensat quired to d	tion. Do ask for	o not report payr	ments for se	ervices
Cash commissions pai	d	35.07				Se	ecurity cod	e 1	Security code 2	Security co	ode 3
Value of all securities distributed as compensation				Security co	odes						
Describe te	erms of warrants, or	otions or o	ther rights	3							
Other compensation	5		Describe								
Total compensation pai	d :	35.07									
✓ Check box if the per	son will or may rec	eive any d	eferred co	ompensation	describ	e the te	rms belov	w)			
Markham Centre F A Preferred Non-V Preferred Non-Voti	oting Shares, 1%										
⁴ Provide the aggregate value on additional securities of the issue rights exercisable to acquire ad ⁵ Do not include deferred compe	er. Indicate the secu Iditional securities d	urity codes	s for all se								er

a) Name of person compe	ensated and regis	tration statu	ıs								
Indicate whether the person com	npensated is a registi	ant.		☐ No		√ \	Yes				
If the person compensated is an	individual, provide t	he name of th	ne indivi	dual.							
Full legal name of indivi	dual										
	F	amily name			First gi	iven nan	me		Secondary (given names	
If the person compensated is not	t an individual, provi	de the followi	ing infor	mation.							
Full legal name	of non-individual	Rethink and	d Diver	sify Secu	ities Inc	·.					
Fi	rm NRD number	4 7	2	5	0			(if appl	icable)		
Indicate whether the person com	npensated facilitated	the distributi	on throu	ıgh a fundi	ng portal	or an ii	nternet-	based p	ortal. 🗸	No [Yes
b) Business contact inform	mation										
If a firm NRD number is not pro	vided in Item 8 (a), p	rovide the bu	siness co	ontact infor	mation o	f the pe	erson bei	ing com	pensated.		
Street address											
Municipality						Pro	ovince/S	State			
Country					Pos	stal co	de/Zip	code			
Email address					Т	elepho	one nui	mber			
c) Relationship to issuer of	or investment fund	l manager									
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)											
Director or officer of th	o investment fund o	r invoctment	fund ma	nagor		mplovo	o of the	iccuor a	or investment fun	d managai	
_	ie investinent tuna c	i investment	Turiu irie	anagei	□ -	прюуес	e or trie	issuei (or investment run	u managei	
✓ None of the above											
d) Compensation details											
Provide details of all compensations and canadian dollars. Include cash continuities incidental to the distribution, such allocation arrangements with the	ommissions, securitie h as clerical, printing e directors, officers of	rs-based comp n, legal or acc employees o	pensatio ounting	n, gifts, dis services. A	counts or n issuer is	other c	compens quired to	ation. E ask fo	Do not report payı	ments for se	ervices
Cash commissions pai	d	1.23				S	ecurity co	ode 1	Security code 2	Security co	ode 3
Value of all securities distributed as compensation			9	Security co	des						
•	erms of warrants, op	tions or othe	rights								
Other compensation	5	De	escribe								
Total compensation pai	d	1.23									
✓ Check box if the per	son will or may rece	ive any defe	rred con	npensation	(describe	e the te	erms bel	ow)			
Rethink and Divers Preferred Non-Vot Preferred Non-Vot	ing Shares, 1% or										A .
⁴ Provide the aggregate value of additional securities of the issue rights exercisable to acquire an ⁵ Do not include deferred compe	er. Indicate the secu Iditional securities of	rity codes for									er

a) Name of person comp	ensated and regis	stration sta	itus								
Indicate whether the person cor	npensated is a regist	rant.		☐ No		✓ Y	'es				
If the person compensated is an	individual, provide	the name of	the indivi	idual.							
Full legal name of indiv	idual										
		Family name			First gi	ven nam	ne		Secondary g	jiven names	
If the person compensated is no	t an individual, prov	ide the follo	wing infor	rmation.							
Full legal name	of non-individual	Genesis \	Wealth M	/lanageme	nt Corpo	oration					
Fi	irm NRD number	6	4 5	7	0		(if	applica	able)		
Indicate whether the person cor	npensated facilitated	the distribu	ıtion thro	ugh a fundi	ng portal	or an in	nternet-ba	sed poi	rtal. 🗸] No [Yes
b) Business contact infor	mation										
If a firm NRD number is not pro	ovided in Item 8 (a), ¡	provide the l	business c	ontact infor	mation of	f the per	rson being	comp	ensated.		
Street address											
Municipality						Pro	vince/Sta	ate			
Country					Pos	stal cod	de/Zip co	de			
Email address					Т	elepho	ne numb	oer [
c) Relationship to issuer	or investment fun	d managei	r								
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)											
☐ Director or officer of the None of the above	he investment fund (or investme	nt fund ma	anager	En	nployee	of the iss	uer or	investment fun	d manager	
d) Compensation details											
Provide details of all compensations of all compensations and compensation can detail to the distribution, such allocation arrangements with the	commissions, securiti ch as clerical, printin e directors, officers o	es-based co g, legal or a r employees	mpensatic ccounting	on, gifts, dis services. A	counts or n issuer is	other co	ompensati uired to a	on. Do	not report payr	ments for se	ervices
Cash commissions pa	lia	8.55				Se	curity code	1 8	Security code 2	Security co	ode 3
Value of all securitie distributed as compensatio	-		:	Security co	des						
Describe to	erms of warrants, or	otions or oth	er rights								
Other compensation	n ⁵	ı	Describe								
Total compensation pa	id	8.55									
✓ Check box if the pe	rson will or may rec	eive any de	ferred con	mpensation	(describe	e the ter	rms below	')			
Genesis Wealth M Class A Preferred Series 'C' Preferre	Non-Voting Shar										
⁴ Provide the aggregate value of additional securities of the issu- rights exercisable to acquire ac ⁵ Do not include deferred comp	ier. Indicate the secuditional securities d	urity codes i	for all sec								er

a) Name of person comp	pensated and regi	stration status							
Indicate whether the person co	mpensated is a regis	trant.	☐ No		✓ Ye	es			
If the person compensated is a	n individual, provide	the name of the indiv	ridual.						
Full legal name of indiv	/idual								
		Family name		First giv	ven name	;	Secondary (given names	
If the person compensated is no	ot an individual, pro	vide the following info	rmation.						
Full legal name	of non-individual	Fidelity Clearing	Canada U	_C					
F	irm NRD number	2 8 8	8	0		(if app	olicable)		
Indicate whether the person co.	mpensated facilitate	d the distribution thro	ough a fundi	ng portal c	or an int	ernet-based	portal.	No ✓ Yes	
b) Business contact info	rmation								
If a firm NRD number is not pro	ovided in Item 8 (a),	provide the business o	contact infor	mation of	the pers	son being cor	mpensated.		
Street address									
Municipality					Prov	rince/State			
Country				Pos	tal code	e/Zip code			
Email address				Te	elephor	ne number			
c) Relationship to issuer	or investment fur	nd manager							
Indicate the person's relationsh the Instructions and the meani	ng of "control" in sec	ction 1.4 of NI 45-106	-	oses of co	mpleting	g this section.			
Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)									
Director or officer of t	the investment fund	or investment fund m	nanager	L Em	iployee (of the issuer	or investment fun	d manager	
None of the above									
d) Compensation details									
Provide details of all compensations of all compensations and compensations. Include cash a incidental to the distribution, sure allocation arrangements with the Cash commissions page 1.	commissions, securit uch as clerical, printi ne directors, officers	ies-based compensati ng, legal or accounting	on, gifts, dis g services. A	counts or c n issuer is i	other coi not requ	mpensation. iired to ask fo	Do not report payı	ments for services	
Cash commissions pa	aiu	0.01			Sec	curity code 1	Security code 2	Security code 3	
Value of all securitie distributed as compensation			Security co	des					
Describe	terms of warrants, o	ptions or other rights							
Other compensation	n ⁵	Describe							
Total compensation pa	aid	8.61							
✓ Check box if the pe	erson will or may red	ceive any deferred co	mpensation	(describe	the terr	ns below)			
Fidelity Clearing On-Voting Share Non-Voting	Canada ULC will les, 1% on Class E	receive an annual t 3 Series 'B' Preferre	railer fee p ed Non-Vo	eaid mont ting Shar	thly in the	he amount 0% on Cla	of 1/2% on Clas ss B Series 'C' l	ss A Preferred Preferred	
⁴ Provide the aggregate value additional securities of the issinghts exercisable to acquire a ⁵ Do not include deferred comp	uer. Indicate the sec additional securities	curity codes for all sec							

a) Name of person compe	ensated and regis	stration	status										
Indicate whether the person com	pensated is a regist	rant.			☐ No		√	Yes					
If the person compensated is an	individual, provide t	he name	of the i	ndivid	dual.								
Full legal name of individual	dual												
		Family na	me			First	given r	name		Second	lary give	en names	
If the person compensated is not	an individual, provi	ide the fo	ollowing	infori	mation.								
Full legal name of	of non-individual	Raymo	nd Jam	nes L	_td.								
Fir	m NRD number	8	2	4	0				(if app	olicable)			
Indicate whether the person com	pensated facilitatea	the distr	ribution	throu	ıgh a fund	ing port	al or a	n interne	t-based	portal.		No 🔽] Yes
b) Business contact inform	nation												
If a firm NRD number is not prov	rided in Item 8 (a), p	orovide th	ne busine	ess co	ntact info	rmation	of the	person l	peing cor	mpensated.			
Street address													
Municipality							F	Province	e/State				
Country						Р	ostal	code/Zi	p code				
Email address							Telep	hone r	umber				
c) Relationship to issuer of	r investment fund	d mana	ger										
the Instructions and the meaning Connect with the issue	Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund) Director or officer of the investment fund or investment fund manager Employee of the issuer or investment fund manager												
None of the above													
d) Compensation details													
Provide details of all compensation Canadian dollars. Include cash continuities incidental to the distribution, such allocation arrangements with the	mmissions, securition in as clerical, printing directors, officers o	es-based g, legal o r employ	compen r accoun	sation sting :	n, gifts, dis services. A	scounts (In issuer	or othe is not	r compe requirea	nsation. to ask f	Do not report	рауте	nts for se	ervices
Cash commissions paid	J	8.33						Security	code 1	Security cod	2 5	Security co	de 3
Value of all securities distributed as compensation				S	Security co	odes							
Describe te	rms of warrants, op	otions or	other rig	hts									
Other compensation	5		Descr	ibe									
Total compensation paid	t	8.33											
✓ Check box if the pers	son will or may rece	eive any	deferred	l com	npensatior	descri	ibe the	terms b	elow)				
Raymond James L Voting Shares, 1% Voting	td. will receive ar on Class B Serie	n annua es 'B' Pr	l trailer eferred	fee p Nor	paid mor n-Voting	thly in Shares	the ar	nount o	of 1/2% Class B	on Class A Series 'C' P	Prefer referre	red Non ed Non-	-
⁴ Provide the aggregate value of additional securities of the issue rights exercisable to acquire add ⁵ Do not include deferred compe	er. Indicate the secu ditional securities o	ırity code	es for all										er

a) Name of person compe	ensated and regis	stration s	tatus								
Indicate whether the person com	npensated is a regist	rant.		☐ No		✓ \	Yes				
If the person compensated is an	individual, provide	the name	of the indiv	vidual.							
Full legal name of indivi	dual										
		Family nam	ne		First g	jiven nar	me		Secondary	given names	
If the person compensated is not	t an individual, prov	ide the fol	lowing info	ormation.							
Full legal name	of non-individual	Nationa	l Bank Fi	nancial Ind).						
Fi	m NRD number	1	9 6	6 0			(i	if appli	cable)		
Indicate whether the person com	npensated facilitated	the distri	bution thro	ough a fund	ing portal	l or an i	nternet-b	ased po	ortal.	No ✓	Yes
b) Business contact inform	mation										
If a firm NRD number is not pro	vided in Item 8 (a), ¡	provide the	e business	contact info	rmation c	of the pe	erson bein	ng com	pensated.		
Street address											
Municipality						Pro	ovince/S	tate			
Country					Po	stal co	de/Zip c	ode			
Email address					٦	Γelepho	one num	nber			
c) Relationship to issuer of	or investment fun	d manag	er								
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund) Director or officer of the investment fund or investment fund manager Employee of the issuer or investment fund manager											
None of the above											
d) Compensation details											
Provide details of all compensation Canadian dollars. Include cash control incidental to the distribution, such allocation arrangements with the	ommissions, securiti h as clerical, printin e directors, officers o	es-based og g, legal or er employe	compensati accountin	ion, gifts, dis g services. A	counts or n issuer i	other c s not rec	compensa quired to	ition. D ask for	o not report pay	ments for se	ervices
Cash commissions pai	u	60.27				S	ecurity cod	de 1	Security code 2	Security co	ode 3
Value of all securities distributed as compensation				Security co	odes						
Describe te	erms of warrants, or	otions or o	ther rights	;							
Other compensation	5		Describe								
Total compensation pai	d	60.27									
✓ Check box if the per	son will or may rec	eive any d	leferred co	mpensation	describ	e the te	erms belo	w)			
National Bank Fina Non-Voting Shares Non-Voting											ed
⁴ Provide the aggregate value on additional securities of the issue rights exercisable to acquire and ⁵ Do not include deferred compe	er. Indicate the seco Iditional securities d	urity code:	s for all se								er

a) Name of person compe	ensated and regis	tration status									
Indicate whether the person com	npensated is a regist	rant.		☐ No		✓ Y	⁄es				
If the person compensated is an	individual, provide t	he name of the i	indivia	lual.							
Full legal name of indivi	dual										
	I	amily name			First gi	iven nan	ne		Secondary	given names	
If the person compensated is not	t an individual, provi	de the following	inforr	mation.							
Full legal name	of non-individual	Industrielle Al	liance	e Valeurs	Mobilie	res Inc	D.				
Fi	rm NRD number	1 5	4	0	0		(i	f appli	cable)		
Indicate whether the person com	npensated facilitated	the distribution	throu	gh a fundir	g portal	or an ir	nternet-b	ased p	ortal.] No ✓	Yes
b) Business contact inform	mation										
If a firm NRD number is not pro	vided in Item 8 (a), p	rovide the busin	ess co	ntact infori	nation of	f the pe	rson bein	g com	pensated.		
Street address											
Municipality						Pro	ovince/S	tate			
Country					Pos	stal co	de/Zip c	ode			
Email address					Т	elepho	one num	ber			
c) Relationship to issuer of	or investment fund	d manager									
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 for the purposes of completing this section. Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)											
Director or officer of the investment fund or investment fund manager Insider of the issuer (other than an investment fund)											
	ie investinent iunu c	n investment fui	iu iiia	mager	Ш -''	прюусс	or trie is	isuei c	n investment rai	u manager	
✓ None of the above											
d) Compensation details											
Provide details of all compensations and compensations and compensations. Include cash control incidental to the distribution, such allocation arrangements with the	ommissions, securitienth as clerical, printing e directors, officers of	es-based comper g, legal or account r employees of a	nsation nting s	n, gifts, disc services. An	ounts or issuer is	other c	ompensa quired to	tion. D ask for	o not report pay	ments for se	ervices
Cash commissions pai	d	0.12				Se	ecurity cod	le 1	Security code 2	Security co	ode 3
Value of all securities distributed as compensation			S	Security cod	les						
Describe te	erms of warrants, op	tions or other rig	ghts								
Other compensation	15	Desc	ribe								
Total compensation pai	d	0.12									
	rson will or may rece	eive any deferre	d com	pensation	(describe	e the te	rms belov	w)			
Industrielle Allianc Preferred Non-Vot Preferred Non-Vot	ing Shares, 1% o										A
⁴ Provide the aggregate value o additional securities of the issu- rights exercisable to acquire ad ⁵ Do not include deferred compe	er. Indicate the secu Iditional securities o	rity codes for all									er

a) Name of person compe	ensated and regis	tration s	tatus								
Indicate whether the person com	pensated is a regist	rant.		☐ No		✓ `	Yes				
If the person compensated is an	individual, provide i	he name o	of the indiv	ridual.							
Full legal name of individual	dual										
		Family nam	ne	I	First g	jiven nar	me		Secondary	given name	s
If the person compensated is not	an individual, prov	ide the fol	lowing info	rmation.							
Full legal name of	of non-individual	Aligned	Capital F	artners In	C.						
Fir	m NRD number	2	3 4	0				(if appl	icable)		
Indicate whether the person com	pensated facilitated	the distri	bution thro	ough a fund	ing portal	l or an i	internet-l	based p	ortal.	□ No [v	✓ Yes
b) Business contact inform	nation										
If a firm NRD number is not prov	ided in Item 8 (a), ہ	provide the	e business o	contact info	rmation c	of the pe	erson bei	ng com	pensated.		
Street address											
Municipality						Pro	ovince/S	State			
Country					Po	stal co	de/Zip	code			
Email address					٦	Γeleph	one nur	mber			
c) Relationship to issuer of	or investment fund	d manag	er								
Indicate the person's relationship the Instructions and the meaning	g of "control" in sect	ion 1.4 of	NI 45-106		ooses of c	ompleti	ing this s	ection.	-		
Connect with the issuer or investment fund manager Insider of the issuer (other than an investment fund)											
Director or officer of th	e investment fund o	or investm	ent fund m	nanager	E	mploye	e of the i	issuer (or investment fu	nd manage	er
✓ None of the above											
d) Compensation details											
Provide details of all compensation Canadian dollars. Include cash continuities incidental to the distribution, such allocation arrangements with the	ommissions, securiti h as clerical, printin directors, officers o	es-based c g, legal or r employe	ompensati accounting	on, gifts, dis g services. A	counts or n issuer i	other o s not re	compens quired to	ation. E ask fo	Do not report pa	ments for s	services
Cash commissions paid	d	0.82				S	Security co	ode 1	Security code 2	Security of	code 3
Value of all securities distributed as compensation				Security co	odes						
Describe te	rms of warrants, or	otions or o	ther rights								
Other compensation	5		Describe								
Total compensation paid	d	0.82									
✓ Check box if the pers	son will or may reco	eive any d	eferred co	mpensatior	(describ	e the te	erms belo	ow)			
Aligned Capital Pa Non-Voting Shares Non-Voting Shares	s, 1% on Class B										
⁴ Provide the aggregate value of additional securities of the issue rights exercisable to acquire add ⁵ Do not include deferred compe	er. Indicate the secu Iditional securities o	ırity codes	s for all sed								ner

TEM 9 - DIRECTORS, EXECU				UER				
If the issuer is an investment fun	d, do not complete l	Item 9. Procced to	Item 10.					
Indicate whether the issuer is any o	f the following (select	t the one that applie	es - if more than one	applies, select only	one).			
Reporting issuer in any juris	sdiction of Canada							
Foreign public issuer								
Wholly owned subsidiary of	f a reporting issuer in	n any jurisdiction of	Canada ⁶					
Provide nar	me of reporting issue	r						
Wholly owned subsidiary of	f a foreign public issu	uer ⁶						
Provide name o	f foreign public issue	r						
Issuer distributing only eligi	ble foreign securities	and the distributio	n is to permitted clie	nts only ⁷				
If the issuer is at least one of the	above, do not comp	olete Item 9(a) – (d	c). Proceed to Item	10.				
⁶ An issuer is a wholly owned subsice securities that are required by law to respectively. ⁷ Check this box if it applies to the collection. Refer to the definitions of "ellows". If the issuer is none of the	o be owned by its dir urrent distribution ev eligible foreign securi	rectors, are benefication in the issuer madity" and "permitted continued to the continued of the continue	ially owned by the re de previous distributi client" in Part B(1) of	porting issuer or the fons of other types	he foreign	public iss	suer,	
a) Directors, executive officer	rs and promoters of	of the issuer						
Provide the following information fo territory; otherwise state the country.						tate the p	rovince	or
Organization or company name	Family name	First given name	Secondary given names	Business loca non-individu residenta jurisdictior individu	ial or ail n of		onship to et all that	
				Province or o	country	D	0	Р
	Granleese	William		British Columbia	a	✓	✓	
	Granleese	William	R.	British Columbia	a	✓		
	Worsnup	Christopher	Gavin	British Columbia	a	✓		
b) Promoter information								
If the promoter listed above is not ar within Canada, state the province or								
			Secondary given	Residential jurisdiction of		itionship		

Residential address of each individual

Organization or company name

Complete Schedule 2 of this form providing the full residential address for each individual listed in Item 9(a) and (b) and attach to the completed report. Schedule 2 also requires information to be provided about control persons.

names

First given name

Family name

individual

Province or

country

D

0

ITEM 10 - CERTIFICATION

Provide the following certification and business contact information of an officer, director or agent of the issuer or underwriter. If the issuer or underwriter is not a company, an individual who performs functions similar to that of a director or officer may certify the report. For example, if the issuer is a trust, the report may be certified by the issuer's trustee. If the issuer is an investment fund, a director or officer of the investment fund manager (or, if the investment fund manager is not a company, an individual who performs similar functions) may certify the report if the director or officer has been authorized to do so by the investment fund.

The certification may be delegated, but only to an agent that has been authorized by an officer or director of the issuer or underwriter to prepare and certify the report on behalf of the issuer or underwriter. If the report is being certified by an agent on behalf of the issuer or underwriter, provide the applicable information for the agent in the boxes below.

If the individual completing and filing the report is different from the individual certifying the report, provide the name and contact details for the individual completing and filing the report in Item 11.

The signature on the report must be in typed form rather than handwritten form. The report may include an electronic signature provided the name of the signatory is also in typed form.

Securities legislation requires an issuer or underwriter that makes a distribution of securities under certain prospectus exemptions to file a completed report of exemt distribution.

By completing the information below, I certify, on behalf of the issuer/underwriter/investment fund manager, to the securities regulatory authority or regulator, as applicable, that I have reviewed this report and to my knowledge, having exercised reasonable diligence, the information provided in this report is true and, to the extent required, complete.

Name of issuer/underwriter/ investment fund manager/agent	Antrim Balanced Mortgage Fu	ınd Ltd.				
Full legal name	Granleese	William	R			
	Family name	First given name	'	Seconda	ary given na	ames
Title	Portfolio Manager					
Telephone number	6045302301	Email address	will@an	triminvest	ments.co	om
Signature	"William Granleese"	Date	2020	09	18	
			YYYY	MM	DD	

ITEM 11- CONTACT F	PERSON				
	•	r the individual that the secu the individual certifying the		regulator m	ay contact with any questions
Same as individua	I certifying the report				
Full legal name				Title	
	Family name	First given name	Secondary given names		
Name of company					
Telephone number		Er	nail address		

Notice - Collection and use of personal information

The personal information required under this form is collected on behalf of and used by the securities regulatory authority or regulator under the authority granted in securities legislation for the purposes of the administration and enforcement of the securities legislation.

If you have any questions about the collection and use of this information, contact the securities regulatory authority or regulator in the local jurisdiction(s) where the report is filed, at the address(es) listed at the end of this form.

The attached Schedules 1 and 2 may contain personal information of individuals and details of the distribution(s). The information in Schedules 1 and 2 will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

By signing this report, the issuer/underwriter confirms that each individual listed in Schedule 1 or 2 of the report who is resident in a jurisdiction of Canada:

- a) has been notified by the issuer/underwriter of the delivery to the securities regulatory authority or regulator of the information pertaining to the individual as set out in Schedules 1 or 2, that this information is being collected by the securities regulatory authority or regulator under the authority granted in securities legislation, that this information is being collected for the purposes of the administration and enforcement of the securities legislation of the local jurisdiction, and of the title, business address and business telephone number of the public official in the local jurisdiction, as set out in this form, who can answer questions about the security regulatory authority's or regulator's indirect collection of the information, and
- b) has authorized the indirect collection of the information by the securities regulatory authority or regulator.