



BCSC settles with B.C. woman for breaching the Act (ENGLISH and CHINESE)

2017/18
February 17, 2017

Vancouver - In a [settlement agreement](#) with the British Columbia Securities Commission (BCSC), Vicky Dancho (a.k.a. Ju Huang) has admitted to breaching the *Securities Act* by signing promissory notes for investments to which no exemptions applied. Dancho is a B.C. resident who has never been registered under the Act.

The agreement states that between April 2008 and August 2012, Pegasus Pharmaceuticals Group Inc., a B.C. company, raised money from investors in Taiwan by issuing Pegasus bonds. Each bond consisted of an investment certificate and a promissory note. Approximately 800 of these promissory notes stated that Careseng Cancer Institute Inc., also a B.C. company, guaranteed repayment of principal at maturity. Dancho signed these promissory notes as a director of the guarantor, Careseng Cancer. Dancho did not control Careseng Cancer or Pegasus and took no active role in the business or affairs of Careseng Cancer.

The agreement states that approximately \$22.9 million of these promissory notes were for investments to which no prospectus exemptions applied. By signing the promissory notes, Dancho performed acts in furtherance of trades of Pegasus bonds, and breached the Act.

For her misconduct, Dancho has been ordered to permanently cease trading in any securities (with limited exceptions), and is permanently banned from becoming or acting as a director or officer of any issuer or registrant, becoming or acting as a registrant or promoter, and acting in a management or consultative capacity in connection with activities in the securities market. She has also agreed to pay \$70,000 to the BCSC.

You may view the settlement agreement on our website www.bcsc.bc.ca by typing Vicky Dancho, Ju Huang or 2017 BCSECCOM 40 in the search box. Information regarding disciplinary proceedings can be found in the [Enforcement section](#) of the BCSC website.

Please visit the Canadian Securities Administrators' [Disciplined List](#) for information relating to persons and companies disciplined by provincial securities regulators, the Investment Industry Regulatory Organization of Canada (IIROC) and the Mutual Fund Dealers Association (MFDA).

About the British Columbia Securities Commission (www.bcsc.bc.ca)

The British Columbia Securities Commission is the independent provincial government agency responsible for regulating capital markets in British Columbia through the administration of the *Securities Act*. Our mission is to protect and promote the public interest by fostering:

- A securities market that is fair and warrants public confidence
- A dynamic and competitive securities industry that provides investment opportunities and access to capital

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Media Contact:
Alison Walker
604-899-6713

Public inquiries:
604-899-6854 or 1-800-373-6393 (toll free)
inquiries@bcsc.bc.ca

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卑斯證監會與一卑斯省女子就違反證券法達成和解

溫哥華-在與卑斯證監會(BCSC) 達成的和解協議中，Vicky Dancho (又名Ju Huang)承認因簽署沒有獲豁免的投資的期票而違反證券法。Dancho是卑斯省居民，她未曾在證券法下登記。

協議指出，在2008年4月至2012年8月期間，卑斯省公司天馬藥業集團向台灣的投資者發行天馬債券籌款。每份債券包括一張投資證書及一張期票。約800張期票聲稱卑斯省公司科爾興腫瘤防治網絡公司保證到期歸還本金。Dancho以擔保機構科爾興腫瘤董事的身分在這些期票上簽名。科爾興腫瘤或天馬不在Dancho的掌控中，而她在科爾興腫瘤的生意或事務上沒有主動的角色。

協議指出，約二千二百九十萬元的期票是沒有獲公開說明書豁免的投資。Dancho因簽署期票而促進天馬債券的交易及違反證券法。

就其不當行為，Dancho 被命令永遠停止買賣任何證券（除有限的例外），及永遠被禁止成為或作為任何發行證券機構或登記人的董事或官員，成為或作為登記人或協助籌款，及在證券市場活動中扮演管理或諮詢的角色。她也同意支付\$70,000給卑斯證監會。

公眾可以到我們網址www.bcsc.bc.ca在搜索欄輸入Vicky Dancho， Ju Huang或 2017 BCSECCOM 40閱覽和解協議。有關制裁訴訟的資料可於卑斯證監會網址執行部門中找到。

請於加拿大證券管理人受制裁人士名單中閱覽受到省證監會，加拿大投資行業監管組織(IIROC)及互惠基金交易員協會(MFDA) 制裁人士及公司的資料。

關於卑斯證監會 (www.bcsc.bc.ca)

卑斯證監會是獨立的省政府機構，負責通過執行證券法監察卑斯省內的資本市場。我們的任務是保護和推廣公眾的利益，促進：

- 一個公平和值得公眾信賴的證券市場
- 一個能夠提供投資機會和融資的活躍和具競爭力的證券行業

傳媒聯絡：

Alison Walker
604-899-6713

公眾查詢：

604-899-6854 or 1-800-373-6393 (免費)
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