



REGISTRANT OUTREACH WORKSHOP AGENDA

Monday, November 21, 2016

2.00 – 4.00 p.m.

UBC Robson Square, 800 Robson Street,
Vancouver

1:30 to 2:00	SIGN IN AND NETWORKING OPPORTUNITY	
2:00 to 2:05	Welcome	Mark Wang, Director, CMR, BCSC
2:05 to 3:00	Cyber Security – Panel Discussion	Edwin Leong, Senior Compliance Analyst, Adviser/IFM Compliance, CMR, BCSC - Moderator John S. Clark, President, Pacific Spirit Investment Management Inc. Nick Karliychuk, Operations Manager, Dixon Mitchell Investment Counsel Inc. David Rajanayagam, Senior Compliance Analyst, Adviser/IFM Compliance, CMR, BCSC Nirwair Sanghera, Senior Compliance Analyst, Registration, CMR, BCSC
3:00 to 3:10	REFRESHMENT BREAK	
3:10 to 3:30	Legal Updates	Mark French, Manager, Registration & Dealer Compliance, CMR, BCSC, Moderator
3:10 to 3:15	<ul style="list-style-type: none"> Understanding Derivatives Trade Reporting 	Jag Brar, Derivatives Market Specialist, CMR, BCSC
3:15 to 3:20	<ul style="list-style-type: none"> Primed for T+2 in 2017? 	Meg Tassie, Senior Advisor, CMR, BCSC
3:20 to 3:25	<ul style="list-style-type: none"> Clarifying Custody Requirements 	Isaac Filate, Senior Legal Counsel, CMR, BCSC
3:25 to 3:30	<ul style="list-style-type: none"> Enhancing Client-Registrant Relationship 	Sarah Corrigan-Brown, Associate General Counsel, OTC BCSC
3:30 to 3:35	Q&A – Legal Updates	Mark French, Moderator (see above)
3:35 to 3:50	CMR – Issues and Best Practices from the Field	Jonathan Lee, Senior Compliance Analyst, Adviser/IFM Compliance, CMR, BCSC – Moderator Victor Lai, Compliance Analyst, Dealer Compliance, CMR, BCSC Colleen Ng, Compliance Analyst, Adviser/IFM Compliance, CMR, BCSC
3:50 to 3:55	Q&A – CMR – Issues and Best Practices from the Field	Jonathan Lee (see above)
3:55 to 4:00	Closing	Mark Wang, Director, CMR, BCSC



John S. Clark, CPA, CFA, CFP

President

Pacific Spirit Investment Management Inc.

Biography:

John is the founder of Pacific Spirit Investment Management Inc. As President, John is responsible for all aspects of the firm's operations and he is the Company's UDP and CCO. John was the BC Gold Medalist in the Chartered Accountants' Uniform Final Exams and placed first in his Graduating Class from UBC's Faculty of Commerce. His firm manages portfolios exclusively for high net worth client families.



Nick Karliychuk, CIM, CFA

Operations Manager

Dixon Mitchell Investment Counsel Inc.

Biography:

As Operations Manager at Dixon Mitchell Investment Counsel Inc., Nick is responsible for running the firm's investment operations. His duties include overseeing and managing the portfolio administration and investment accounting team, analyzing and improving workflows, looking after technology needs, dealing with business continuity and disaster recovery, and managing relationships with third party providers. Nick is a Director with CFA Society Vancouver and chairs the Programs Committee responsible for organizing educational events. He is a CFA Charterholder.