



# REGISTRANT OUTREACH WORKSHOP AGENDA

Friday, June 26, 2015

2.00 – 4.00 p.m.

UBC Robson Square, 800 Robson Street,  
Vancouver

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1:30 to 2:00	SIGN IN AND NETWORKING OPPORTUNITY	
2:00 to 2:05	Welcome	Sandy Jakab, Director, Capital Markets Regulation, BCSC
2:05 to 2:15	Status Updates	Mark French, Manager, Dealer Compliance, CMR, BCSC (Moderator)
	<ul style="list-style-type: none"><li>• Crowdfunding</li></ul>	Denis Silva, Senior Legal Counsel, Legal Services, CMR, BCSC
	<ul style="list-style-type: none"><li>• On-line Advising</li></ul>	Denis Silva
	<ul style="list-style-type: none"><li>• Registration Issues</li></ul>	Karin Armstrong, Manager, Registration, CMR, BCSC
2:15 to 2:20	Audience questions about Status Updates	Mark French (Moderator)
2:20 to 2:45	CRM2 – Transition Update	Vida Mehin, Senior Legal Counsel, Legal Services, CMR, BCSC
2:45 to 3:00	REFRESHMENT BREAK	
3:00 to 3:45	Panel discussion – CRM2 – Topical Questions	Mark French (Moderator) Jason Brooks, Partner, Bordon Ladner Gervais Mark Hughes, CA, CFA, President and Chief Compliance Officer, HughesLittle Investment Management Ltd. Kevin Kirkwood, CFA, MBA, Chief Investment Officer, Chief Compliance Officer, Alitis Investment Counsel Inc. Vida Mehin
3:45 to 3:55	Audience questions about CRM2	Mark French (Moderator)
3:55 to 4:00	Closing	Brenda Benham, Senior Corporate Communications Advisor, C&E, BCSC

**Jason Brooks**

**Partner, Borden Ladner Gervais**



**Biography:**

As a partner in BLG's Securities and Capital Markets Group in Vancouver and Regional Leader of BLG's Investment Management Focus Group, Jason's law practice focuses on advising Canadian and foreign-based clients on all aspects of the investment management industry, including investment fund managers, portfolio managers, dealers, financial institutions, and service providers.

**Mark Hughes**  
**President and Chief Compliance Officer**  
**HughesLittle Investment Management Ltd.**



**Biography:**

Mark has over 30 years of experience in the Financial Services industry. Since founding HughesLittle Investment Management Ltd. in 2005, he has been responsible for compliance, client service, administration and portfolio management. Prior to starting HughesLittle, he worked for investment manager Duncan Ross Associates as President, Portfolio Manager, and Chief Compliance Officer and for Deloitte Haskins and Sells, where he was a member of their mutual fund audit group. Mark is a Chartered Professional Accountant and a Chartered Financial Analyst.

**Kevin Kirkwood**  
**Chief Investment Officer and Chief Compliance Officer**  
**Alitis Investment Counsel**



**Biography:**

Kevin Kirkwood has over 23 years' experience in the financial services industry, in the areas of investment analysis, portfolio designs and compliance. Mr. Kirkwood is also the President and part owner of Global Portfolio Review Inc., a consulting firm focused on helping retail brokers design and manage investment portfolios for their clients. Prior to starting Global Portfolio Review in 2005, Kevin held a variety of increasingly senior positions with Cartier Partners. Kevin has a Chartered Financial Analyst (CFA) designation.